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# EVALUATION OF POTENTIALLY INAPPROPRIATE PRESCRIBING AMONG HOSPITALISED GERIATRIC PATIENTS: PREVALENCE, PREDICTORS, ASSOCIATION WITH QUALITY OF LIFE AND IMPACT OF A MULTIFACETED INTERVENTION ON HEALTHCARE PROVIDERS' KNOWLEDGE AND PRACTICE IN SELECTED PAHANG HOSPITALS

BY

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A thesis submitted in fulfilment of the requirement for the degree of Doctor of Philosophy in Pharmaceutical Sciences (Pharmacy Practice)

> Kulliyyah of Pharmacy International Islamic University Malaysia

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### ABSTRACT

Background: Potentially inappropriate prescribing (PIP) is a global phenomenon among geriatric patients. The term PIP refers to either potentially inappropriate medication (PIM) or potentially prescribing omission (PPO). PIP is an underestimated problem in Malaysia with a paucity of published data in regards to this issue. Methods: This is a pre-test versus post-test interventional study. The pre-intervention phase was a crosssectional study that evaluated the prevalence of PIP among hospitalised geriatric patients upon admission and its association with the patients' health-related quality of life (HROOL). The impact of hospitalisation on PIP was also assessed by comparing the prevalence of PIP before and after the hospitalisation. A multifaceted intervention consisted of academic detailing and a smartphone app. (Plus65 Med<sup>©</sup>) was delivered to hospital healthcare providers (HCPs), namely physicians and clinical pharmacist. The impact of this intervention on HCPs' knowledge and practice regarding PIP was then assessed. Results: The study found that 58.5% of the involved patients had at least one PIP on admission as identified by the STOPP/START criteria version 2. The most common PIMs were medications that increase risk of falls, and those used in patients with persistent postural hypotension; the use of full dosage of proton pump inhibitors for more than 8 weeks in patients with uncomplicated peptic ulcer and the use of metformin in patients with creatinine clearance < 30 mL/min. The most common PPOs were; the omission of vitamin D supplements in patients with a history of falls, angiotensin-converting enzyme (ACE) inhibitors in patients with documented coronary artery diseases (CAD), heart failure or in diabetic patients with renal failure. No association was found between the presence of PIM (or PPO) with any aspects of the patients' HRQOL. Hospitalisation significantly reduced the prevalence of PIMs from 33.3% at admission to 27% at discharge (p = 0.004) but it did not affect the PPOs. No significant changes found in the overall prevalence of PIPs and pattern of PIM or PPO, where hospitalisation did not significantly reduce the prevalence rates of any of individual STOPP or START criteria. Majority of hospital HCPs (67.1%) had inadequate knowledge coupled with low confidence regarding prescribing in geriatric patients, and participants who rated themselves as more confident in prescribing for geriatric patients had significantly higher knowledge scores than those with less confidence (p = 0.02). It was found that 60% of the respondents had never heard of geriatric-specific prescribing criteria and only 7.3% of them had ever used such criteria. Lack of formal education on prescribing for the elderly was cited by 78% of the participants as real barrier to appropriate prescribing in geriatric patients. The multifaceted intervention was effective in increasing the overall HCPs' knowledge score (p = 0.007) and the number of HCPs who scored above the median point (p =0.001). Moreover, the intervention significantly reduced the prevalence of PPOs among discharged patients (p = 0.015) and the two most common PPOs that were seen before intervention (i.e., omission of vitamin D and ACE inhibitors) were significantly lower in the intervention group ( $p_1 = 0.001$  and  $p_2 = 0.03$ ). However, the intervention did not significantly affect the prevalence or pattern of PIMs. Conclusion: The study showed that the applied intervention reduced the inappropriately omission of beneficial medications which might have a significant clinical impact on the patients by reducing the incidence of new fractures and by preventing the recurrence of CAD events.

# خلاصة البحث

إن الوصف غير المناسب (و.غ.م) للأدوية هو أمر شائع عند المسنين، وهذه الظاهرة لم تحظَ بالدراسة الكافية في ماليزيا. تحرّت هذه الدراسة نسبة انتشار اله (و.غ.م) عند المرضى المسنين المسعَفين إلى المشفى وتأثير هذا على مستوى جودة حياتهم وكذلك تأثير الاستشفاء على هذه الظاهرة إضافة إلى قياس مدى معرفة الطاقم الطبي للوصف المناسب للأدوية عند المسنين. وجدت الدراسة أن 58.5% كان لديهم و.غ.م واحد على الأقل عند دخول المشفى وذلك بحسب النسيخة الثانية من معايير STOPP/START. أكثر أدوية وصفت بشكل غير مناسب كانت الموسّعات الوعائية عند مرضى هبوط الضغط الانتصابي، ومثبطات مضحة البروتون لأكثر من ثمانية أسابيع، والميتفورمين عند مرضى تصفيتهم الكلوية أقل من 30 مل/د. بينماكان أشهر الأدوية التي حذفت بشكل غير مناسب الفيامين د عند المرضمي الذين لديهم تاريخ سقوط، وحذف مثبطات الخميرة المحولة للأنجيوتنسمين عند مرضى الشرايين الإكليلية أو مرضى السكري المصاحب لأذية كلوية. لم يكن هناك ارتباط بين الـ و.أ. غ و مستوى جودة حياة المرضى. خفض الاستشفاء من وصف الأدوية غير المناسبة من 33.3% إلى 27% (p = 0.04) لكنه لم يؤثر على حذف الأدوية المناسبة. وجدت الدراسة أن 67.1 من أفراد الطاقم الطبي لديهم معرفة متواضعة مع ضعف ثقة حول وصف الأدوية للمسنين، وكان هناك ارتباط وثيق بين درجة الثقة ومدى المعرفة (p = 0.02). أيضًا 60% من المشاركين لم يسمعوا عن المعايير الخاصة بوصف الأدوية عن المسنين مثل Beers وSTOPP/START وفقط 7.3% منهم استخدموا مثل هذه المعايير في حياتهم. أشار 78% من المشاركين إلى أن نقص التعليم والتدريب الرسميين حول الأدوية عند المسنين هو أحد العوائق نحو وصف الأدوية بشكل مناسب عند هذه الفئة العمرية. قمنا بتطوير تطبيق للهاتف الجوال يحوي معايير STOPP/START واسميناه (Plus65 (Med© ومن ثم قدمناه للطاقم الطبي في المشـفي مع سـلسـلة من المحاضـرات التعليليمة حول وصـف الأدوية عند المسمنين. تم تقييم فعالية هذه المداخلة على معرفة وممارسمة الطاقم الطبي. أثبتت المداخلة فعاليتها في تحسين معرفة الطاقم الطبي حول الوصف المناسب للأدوية عند المسنين (p = 0.007) وكذلك كانت فعالة في التخفيف من حذف الأدوية المناسبة (p = 0.015) وخصوصاً تلك التي كانت منتشرة بكثرة قبل المداخلة لكن المداخلة لم تنجح في خفض وصف الأدوية غير المناسبة. بالمحصلة: إن المداخلة الجراة زاد من وصف بعض الأدوية المفيدة للمرضى والتي قد تقيهم من حدوث كسور جديدة أو حوادث قلبية إكليلية في المستقبل.

# APPROVAL PAGE

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## DECLARATION

I hereby declare that this thesis is the result of my own investigations, except where otherwise stated. I also declare that it has not been previously or concurrently submitted as a whole for any other degrees at IIUM or other institutions.

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# EVALUATION OF POTENTIALLY INAPPROPRIATE PRESCRIBING AMONG HOSPITALISED GERIATRIC PATIENTS: PREVALENCE, PREDICTORS, ASSOCIATION WITH QUALITY OF LIFE AND IMPACT OF A MULTIFACETED INTERVENTION ON HEALTHCARE PROVIDERS' KNOWLEDGE AND PRACTICE IN SELECTED PAHANG HOSPITALS

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# TABLE OF CONTENTS

Abstractii
Arabic Abstractiii
Approval Pageiv
Declarationv
Acknowledgementsvii
Table of contentsviii
List of Tablesxiii
List of Figuresxv
List of Abbreviationsxvi
CHAPTER ONE: INTRODUCTION1
1.1 Background of The Study
1.2 Definition of an Elderly Person
1.3 Changes with Ageing
1.3.1 Changes in Pharmacokinetics of Drugs in Elderly People2
1.3.1.1 Absorption
1.3.1.2 Distribution
1.3.1.3 Metabolism
1.3.1.4 Elimination
1.3.2 Changes in Pharmacodynamics of Drugs in Elderly People7
1.3.2.1 Central Nervous System7
1.3.2.2 Cardiovascular System
1.4 Potentially Inappropriate Prescribing11
1.4.1 Misprescribing11
1.4.2 Overprescribing
1.4.3 Underprescribing12
1.4.4 Prescribing in Geriatric Patients and Predisposing Factors of PIP12
1.4.5 Negative Outcomes of PIP14
1.4.5.1 PIP and Health-Related Quality of Life
1.4.6 Detection of PIP16
1.4.6.1Implicit Criteria16
1.4.6.2 Explicit Criteria
1.4.7 The clinical Outcomes of Applying the STOPP/START Criteria23
1.5 Polypharmacy   23
1.6 Problem Statement   25
1.7 Rationale of The Study25
1.8 Novelty of The Study
1.9 Study Objectives   26
1.9.1 General Objective
1.9.2 Specific Objectives
1.10 Study Hypothesis
1.11 Study Site
1.12 Study Flow

CHAPTER TWO: LITERATURE REVIEW	.30
2.1 Introduction	30
2.2 Interventional Approaches To Optimise Prescribing In Geriatric Patients	.31
2.2.1 Pharmacist's Intervention	.31
2.2.1.1 Impact of Pharmacist's in Acute Healthcare Setting	32
2.2.1.2 Impact of Pharmacist's Intervention in Ambulatory	
Healthcare Settings	.33
2.2.1.3 Impact of Pharmacist's Intervention in Nursing Homes	s35
2.2.2 A Multidisciplinary Medical Team Approach	.36
2.2.3 Education of Healthcare Providers	.37
2.2.4 Clinical Decision Support Software	.42
2.2.5 Smartphone Application	.44
CHAPTER THREE: THE PREVALENCE PATTERN AND	
PREDICTORS OF POTENTIALLY INAPPROPRIATE PRESCRIBING	
AMONG HOSPITALISED GERIATRIC PATIENTS	.45
3.1 Introduction	.45
3.2 Methods	.46
3.2.1 Study Design and setting	.46
3.2.2 Sample Size	.46
3.2.3 Study Population	.47
3.2.3.1 Inclusion Criteria	.47
3.2.3.2 Exclusion Criteria	.47
3.2.4 Ethical Considerations	.47
3.2.5 Data Collection	.48
3.2.6 Statistical Analysis	.49
3.3 Results	.50
3.3.1 Characteristics of The Study Population	.50
3.3.2 Past Medical History and On-admission Medications	.51
3.3.3 Potentially Inappropriate Medications	.52
3.3.4 Potentially Prescribing Omissions Among the Hospitalised Patients	.55
3.4 Discussion.	58
3.5 Conclusion	.65
CHADTED EALID, DATENTIALLY INADDADDIATE DDESCOIDING	ı.
AND HEAT TH-RET ATED OUAT ITY OF THE	67
4.1 Introduction	67
4.2 Methods	68
4.2.1 Study Design and Setting	.68
4.2.2 Study Population	.68
4.2.3 Potentially Inappropriate Prescribing and Polypharmacy	.68
4.2.4 Assessment of the Health-Related Quality of Life	.68
4.2.5 Statistical Analysis	.69
4.3 Results	.70
4.3.1 Patients Characteristics	.70
4.3.2 Potentially Inappropriate Prescribing	.72
4.3.3 Health-Related Quality of Life	.72
4.3.4 Predictors of Health-Related Quality of Life HRQOL	.75
4.4 Discussion	79

	Conclusion	84
СН	APTER FIVE: THE IMPACT OF HOSPITALISATION	ON
	IAFTER FIVE: THE IMPACT OF HOSPITALISATION I VPHARMACY AND POTENTIALLY INAPPROPRIA	UN ATE
PR	ESCRIBING AMONG GERIATRIC PATIENTS	
5.1	Introduction	
5.2	Methods	
	5.2.1 Study Design and Setting	86
	5.2.2 Study Population and Data Collection	86
	5.2.3 Sample Size	87
	5.2.4 Statistical Analysis	88
5.3	Results	89
	5.3.1 Patients Characteristics	89
	5.3.2 The Impact of The Hospitalisation on The Number of Prescribe	ed
	Medications and The Prevalence of Polypharmacy	90
	5.3.3 The Impact of Hospitalisation on Potentially Inappropriate	
	Medications	91
	5.3.4 The Impact of Hospitalisation on Potentially Prescribing Omiss	sions 93
5.4	Discussion	95
5.5	Conclusion	98
CH	IAPTER SIX: ASSESSMENT OF THE KNOWLEDGE OF HOSPI	
HE	ALTHCARE PROVIDERS REGARDING APPROPRIA	ATE
$\mathbf{PK}$	ESCRIBING IN GERIAIRIC PATIENTS	
0.1	Methods	100
0.2	6.2.1 Questionnaire Development	1111
	0.2.1 Questionnane Development	100
	6.2.2 Ouestionnaire Validation	100
	6.2.2 Questionnaire Validation	100
	<ul> <li>6.2.2 Questionnaire Validation</li> <li>6.2.2.1 Content Validity</li> <li>6.2.2.2 Reliability Test</li> </ul>	100 100 100 101
	<ul> <li>6.2.2 Questionnaire Validation</li> <li>6.2.2.1 Content Validity</li> <li>6.2.2.2 Reliability Test</li> <li>6.2.3 The Final Questionnaire</li> </ul>	100 100 100 101 101
	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 102
	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 102 103
	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103
6.3	<ul> <li>6.2.2 Questionnaire Validation</li> <li>6.2.2.1 Content Validity</li> <li>6.2.2.2 Reliability Test</li> <li>6.2.3 The Final Questionnaire</li> <li>6.2.4 Study Design and Setting</li> <li>6.2.5 Study Population</li> <li>6.2.6 Statistical Analysis</li> <li>Results</li> </ul>	100 100 100 100 101 101 101 102 103 103
6.3	<ul> <li>6.2.2 Questionnaire Validation</li> <li>6.2.2.1 Content Validity</li> <li>6.2.2.2 Reliability Test</li> <li>6.2.3 The Final Questionnaire</li> <li>6.2.4 Study Design and Setting</li> <li>6.2.5 Study Population</li> <li>6.2.6 Statistical Analysis</li> <li>Results</li> <li>6.3.1 Content Validity</li> </ul>	100 100 100 101 101 101 102 103 103 103 103
6.3	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103 103 103 104
6.3	<ul> <li>6.2.2 Questionnaire Validation</li> <li>6.2.2.1 Content Validity</li> <li>6.2.2.2 Reliability Test</li> <li>6.2.3 The Final Questionnaire</li> <li>6.2.4 Study Design and Setting</li> <li>6.2.5 Study Population</li> <li>6.2.6 Statistical Analysis</li> <li>Results</li> <li>6.3.1 Content Validity</li> <li>6.3.2 Reliability</li> <li>6.3.3 Sample Characteristics</li> </ul>	100 100 100 101 101 101 101 102 103 103 103 103 104 105
6.3	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103 103 103 104 105 106
6.3	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 100 101 101 101 102 103 103 103 103 104 105 106 107
6.3	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	$\begin{array}{c} 100\\ 100\\ 100\\ 100\\ 101\\ 101\\ 101\\ 101$
6.3	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	$\begin{array}{c} 100 \\ 100 \\ 100 \\ 100 \\ 101 \\ 101 \\ 101 \\ 101 \\ 102 \\ 103 \\ 103 \\ 103 \\ 103 \\ 103 \\ 104 \\ 105 \\ 106 \\ 107 \\ 109 \\ 110 \end{array}$
6.3 6.4 6.5	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 100 101 101 101 102 103 103 103 103 103 104 105 106 107 109 110 115
6.3 6.4 6.5	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	$\begin{array}{c} 100 \\ 100 \\ 100 \\ 100 \\ 101 \\ 101 \\ 101 \\ 101 \\ 102 \\ 103 \\ 103 \\ 103 \\ 103 \\ 103 \\ 104 \\ 105 \\ 106 \\ 107 \\ 109 \\ 110 \\ 115 \\ \end{array}$
6.3 6.4 6.5 <b>CH</b>	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103 103 103 103 104 105 106 107 109 110 115 <b>FED</b>
6.3 6.4 6.5 CH	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103 103 103 103 104 105 106 107 109 110 115 <b>FED</b> <b>ARE</b>
6.3 6.4 6.5 CH INT PRO	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103 103 103 103 103 104 105 106 107 109 110 115 <b>FED</b> <b>RE</b> 116
6.3 6.4 6.5 CH INT PRO 7.1	<ul> <li>6.2.2 Questionnaire Validation</li></ul>	100 100 100 101 101 101 102 103 103 103 103 103 103 104 105 106 107 109 110 115 <b>FED</b> <b>RE</b> 116

	7.2.1 Study Design and Setting	117
	7.2.2 The Intervention	117
	7.2.2.1 Designing the intervention framework	117
	7.2.2.2 Implementation of the designed intervention	
	7.2.3 Statistical Analysis	124
7.3	Results	
	7.3.1 Characteristics of The Participants	
	7.3.2 Knowledge Score of the Participants Before and After the	
	Intervention	126
	7.3.3 The Healthcare providers' Feedback on Plus65 Med <sup>©</sup>	
7.4	Discussion	127
7.5	Conclusion	130

CHAPTER EIGHT: THE IMPACT OF A MULTIFACETED
INTERVENTION FOR HEALTHCARE PROVIDERS ON PRESCRIBING
INAPPROPRIATE MEDICATION FOR HOSPITALISED GERIATRIC
PATIENTS131
8.1 Introduction
8.2 Methods
8.2.1 Study Design and Setting
8.2.2 Study population and data collection
8.2.3 Sample Size
8.2.4 The Intervention
8.2.5 Statistical Analysis
8.3 Results
8.3.1 Characteristics of The Study Population134
8.3.2 Impact of the Intervention on Potentially Inappropriate Prescribing .135
8.4 Discussion
8.5 Conclusion
CHAPTER NINE: STUDY SUMMARY146
9.1 Overall Discussion And Conclusion
9.2 Limitations
9.3 Future Work
REFERENCES152
Appendix I: Informed consent form, English version
Appendix II: Informed consent form, Malay version
Appendix III: Participant information sheet, English version
Appendix IV: Participant information sheet, Malay version
Appendix V: Data collection sheet
Appendix VI: Katz Index of Independence in Activities of Daily Living
(ADL)
Appendix VII: The English version for Malaysia of EQ-5D-3L instrument189
Appendix VIII: The Malay version of EQ-5D-3L instrument
Appendix IX: Malaysian predicted values for 243 EQ-5D-3L health states
(NS VAS model)
Appendix A: The Permission to use EQ-5D-3L

Appendix XI: The Permission to use Ramaswarmy's Questionnaire	
Appendix XII: The Validated Ouestionnaire	
Appendix XIII: The Permission to use the figures of Michie et al, 2011	
Appendix XIV: The STOPP/START criteria version 2	
Appendix XV: Publications	

# LIST OF TABLES

Table No.	<u>'age No.</u>
Table 1.1: Medication Inappropriate Index	17
Table 2.1: Summary of number of studies which implemented educational interventions to optimise prescribing in geriatric patients	39
Table 3.1: Characteristics of the study population ( $N = 540$ )	50
Table 3.2: Patients' past medical history and medications	51
Table 3.3: Potentially Inappropriate Medications PIMs identified by the STOP criteria (N = 540)	P 53
Table 3.4: Predictors of having potentially inappropriate medication (PIM)	55
Table 3.5: Potentially Prescribing Omissions by the START criteria ( $N = 540$ )	56
Table 3.6: Predictors of having potentially prescribing omission PPO	58
Table 4.1: Patients characteristics ( $N = 502$ )	71
Table 4.2: Comparison of EQ-5D results between patients with and without potentially inappropriate prescribing	74
Table 4.3: Comparison of Patients' HRQOL between patients based on their characteristics	76
Table 4.4: Correlation between HRQOL and continuous variables	77
Table 4.5: Predictors of EQ-5D index	78
Table 4.6: Predictors of EQ-VAS	79
Table 5.1: The McNemar's hypothetical two-way table	87
Table 5.2: Characteristics of the study population ( $N = 240$ )	89
Table 5.3: Medications, PIMs and PPOs pre-and post-hospitalisation among th hospitalised patients ( $N = 240$ )	e 90
Table 5.4: Potentially Inappropriate Medications pre- Vs post-Hospitalisation (N=240)	91
Table 5.5: Changes in PIM after hospitalisation as expressed by the number of patients	93

Table 5.6: Potentially (N=240)	Prescribing Omissions pre- Vs post-Hospitalisation	94
Table 6.1: Rating the tested dom	knowledge scale items in terms of their relevance to the ain by the expert panel	104
Table 6.2: Reliability	test of the final knowledge scale items	104
Table 6.3: Characteri	stics of the participants $(N = 82)$	105
Table 6.4: Confidenc geriatric pa	e and practices of the physicians and pharmacists regardination tients ( $N = 82$ )	ng 106
Table 6.5: Percentage	es of respondents who answered vignettes correctly ( $N = 3$	82)108
Table 6.6: Compariso	on of knowledge scores based on participants' characterist	tics 108
Table 6.7: Barriers to participant	appropriate prescribing, with the number (percentage) of s who cited the barrier in descending order.	f 110
Table 7.1: Specifying	the target behaviour	119
Table 7.2: Behaviour	al analysis	120
Table 7.3: Matrix of J with permi	inks between COM-B and intervention functions. Used ssion from (Michie et al., 2016)	121
Table 7.4: Identifying	the intervention techniques	122
Table 7.5: Characteri	stics of the participants $(N = 29)$	125
Table 7.6: Number (p vignettes (l	ercentage) of participants who correctly answered the $N = 29$ ).	126
Table 8.1: Characteri	stics of the study population (N: 480)	135
Table 8.2: Compariso interventio	on of prevalence rates of PIMs among control and n groups	136
Table 8.3: Compariso interventio	on of the prevalence rate of PPO among control and n groups	139
Table 8.4: The predic	tors of having PPO at discharge in the study population	140

# LIST OF FIGURES

Figure No.	Page No.
Figure 3.1: Distribution of PIMs among patients	52
Figure 3.2: Distribution of PPOs among patients	56
Figure 4.1: Included and excluded patients	70
Figure 4.2: Frequency of reported problems by patients as per EQ-5D dimensions (n: 502).	73
Figure 7.1: The behaviour change wheel. Used with permission from (Michie et al., 2011)	118
Figure 7.2: COM-B system. Used with permission from (Michie et al., 2011)	118
Figure 7.3: Screenshot of searching for captopril-related recommendations of Plus65 Med <sup>©</sup>	n 123
Figure 8.1: Distribution of PIMs among the two groups	136
Figure 8.2: Distribution of PPOs among the study groups	138

# LIST OF ABBREVIATIONS

ACEAngiotensin-converting enzymeADLPatients' activities of daily livingADRAdverse drug reactionAppApplicationARBAngiotensin receptor blockerBCWBehaviour change wheel	t
ADLPatients' activities of daily livingADRAdverse drug reactionAppApplicationARBAngiotensin receptor blockerBCWBehaviour change wheel	t
ADRAdverse drug reactionAppApplicationARBAngiotensin receptor blockerBCWBehaviour change wheel	t
AppApplicationARBAngiotensin receptor blockerBCWBehaviour change wheel	t
ARBAngiotensin receptor blockerBCWBehaviour change wheel	t
BCW Behaviour change wheel	t
DCW Denaviour change wheel	t
CAD Coronary artery disasses	t
CCP Colour channel blocker	t
CDS Calcium channel blocker	ι
system	
CGA Comprehensive geriatric assessment	
CGE Continuous medical education	
COM-B Capability, opportunity and motivation behaviour	
CMR Clinical medication review	
CPOE Computerised physician/prescriber order entry	
CRC Clinical Research Center	
DRP Drug related problem	
EUGMS European Union Geriatric Medicine Society	
GABA Gamma-aminobutyric acid	
GP General practitioners	
HCP Healthcare provider/professionals	
HMR Home medication review	
HROOL Health-related quality of life	
I-CVI Content validity index for items	
INR International normalized ratio	
IOR Interguartile range	
IT Information technology	
MAI Medication appropriateness index	
MDT Multidisciplinary team	
NSAIDs Nonsteroidal anti-inflammatory drugs	
PIM Potentially inappropriate medication	
PIP Potentially inappropriate prescribing	
PPI Proton pump inhibitor	
PPO Potentially prescribing omission	
OoL Ouality of life	
RCT Randomised controlled trial	
S-CVI/Ave Average content validity index for scale	
SD Standard deviation	
SPRM Structured pharmacist review of medication	
START Screening Tool to Alert doctors to Right Treatment	
STOPP Screening Tool of Elderly Persons' potentially inappropriate	e
Prescription	
TCA Tricyclic antidepressants	

## **CHAPTER ONE**

### INTRODUCTION

### **1.1 BACKGROUND**

The use of medication consists of five consecutive steps; prescribing, communicating orders, dispensing, administering, and monitoring. Errors associated with potential risks may occur at each step, and several preventable actions can be taken at prescribing level where medications might be prescribed inappropriately (Page et al., 2010). Inappropriate prescribing is demonstrated to be associated with adverse drug reactions (ADRs) (Hamilton et al., 2011), increase in hospitalisation (Dalleur et al., 2012; van der Stelt et al., 2016), decrease in patients' adherence (Mansur et al., 2009), and healthcare resource wastage (Cahir et al., 2014; Morgan et al., 2016).

Potentially inappropriate prescribing (PIP) occurs when there is a prescribing of a potentially inappropriate medication (PIM), where the risks associated with the medication outweigh the expected benefits, or when there is a potentially prescribing omission (PPO), where a specific medication is indicated but not prescribed (Hill-Taylor et al., 2016; O'Connor, Gallagher, & O'Mahony, 2012).

The mean age of the global population is consistently increasing as the quality of health services keeps on improving. It is expected that the number of elderly people will reach more than 70 million by 2030 which is double that recorded in 2000 (Amanda H. Lavan, Gallagher, & O'Mahony, 2016). Alarmingly, inappropriate prescribing is quite common among elderly people whom are the largest consumers of medications (Jetha, 2015). These people are more vulnerable to drug-related problems (DRPs) and negative outcomes associated with inappropriate prescribing due to the presence of multiple comorbidities, polypharmacy and age-related pharmacokinetic and pharmacodynamic alterations (O'Connor et al., 2012).

### 1.2 DEFINITION OF THE ELDERLY PERSON

In fact, there is no consensus definition for elderly people. The UN agreed cutoff is 60+ years to refer to the elderly people. As the age of 60 or 65 is the retirement age in most countries, it was stated that this age is the beginning of old age. Most developed countries have stated the chronological age of 65 years as a definition of "elderly" or elderly person (UNPFA, 2012). In medical literature, elderly people are conventionally defined as those aged 65 years and over (Patterson, Hughes, Kerse, Cardwell, & Bradley, 2012). As most of the criteria for appropriate prescribing in elderly people were developed to be used in patients  $\geq$  65 years old (Basger, Chen, & Moles, 2008; O'Mahony et al., 2015; Samuel, 2015), the current study used the age of 65 years old as a cutoff to refer to elderly people. According to the Oxford English dictionary, the word "geriatric" is a noun and adjective that means older person or relating to older people, especially with regard to their healthcare (Simpson & Weiner, 1989). In the current study, the term "elderly people" was used to refer to general people who are  $\geq$ 65 years old, and the term "geriatric patients" was used to refer to patients who are  $\geq$  65 years old.

#### **1.3 CHANGES WITH AGEING**

#### **1.3.1** Changes in Pharmacokinetics of Drugs in Elderly People

Realisation of pharmacokinetic differences of medications is essential to design a proper drug dosing regimen for every patient. Age-related changes in all phases of drug pharmacokinetics should be taken into consideration when prescribing for geriatric patients. These changes are results of reduced functions of several organs and decreased ability of homeostatic mechanisms with age (Corsonello, Pedone, & Incalzi, 2010).

### 1.3.1.1 Absorption

Several changes were reported to occur in the gastrointestinal (GI) tract with advancing age that may account for alterations in the rate and extent of drug absorption. In elderly people, gastric acid production decreases which results in increased gastric pH. In addition, salivation, absorption surface area, splanchnic blood flow, small intestine's absorptive capability, peristalsis, gastric emptying and colonic transit are all decreased with advancing age (Corsonello et al., 2010; Duraković & Vitezić, 2013).

Regardless of the relatively large number of age-related changes in the GI tract, a limited number of drugs are affected by these changes. This is due to the fact that most drugs are absorbed by passive transport which is not affected by the mentioned changes. However, age-related altered absorption may be clinically important. For example, the bioavailability of some antiepileptic drugs may be influenced by advancing age resulting in altered plasma concentrations of these narrow-therapeutic index agents (Gidal, 2006). On the other hand, decreased first-pass effect associated with advancing age results in increased bioavailability of morphine and some other drugs (Emily R. Hajjar et al., 2014). Decreased gastric emptying and colonic transit lead to delayed absorption of most drugs. This change may be of importance when fast onset and early achievement of maximum concentration are required. However, it has no clinical effect on chronic medications once the steady state concentration is achieved.

#### 1.3.1.2 Distribution

Total body fat increases, whilst muscle (lean body) mass and total body water decrease in elderly people. Therefore, the volume of distribution of lipophilic drugs (e.g., diazepam) increases resulting in possible prolonged half-life and extended clearance of these medications (Hutchison & O'Brien, 2007). Additionally, this may reduce the availability of some drugs that excessively distribute to fat tissue (e.g., vitamin D) in the circulating blood. Reduced vitamin D may put geriatric patients -particularly women- at higher risk for negative clinical consequences like fractures (Corsonello et al., 2010). On the other hand, hydrophilic drugs (e.g., aminoglycosides) have a smaller volume of distribution in elders compared with younger people which result in an increase in their plasma concentration and thus lower than normal loading dose may be required (Corsonello et al., 2010; Emily R. Hajjar et al., 2014).

Plasma albumin levels also reduce in geriatric patients - particularly acutely ill patients- which increases free (unbound) fraction of acidic medications (e.g., warfarin) that highly bound to plasma albumin (Duraković & Vitezić, 2013). As the free fraction of a drug is the responsible fraction for the pharmacological effects, increasing unbound drug concentration may increase side effects and toxicity of highly protein-bound drugs (Duraković & Vitezić, 2013; Hutchison & O'Brien, 2007). However, in such cases, the body usually increases the clearance of these drugs as a compensating mechanism to avoid harmful effects of the increased pharmacological action (Emily R. Hajjar et al., 2014). This makes the age-related albumin change clinically insignificant except during early stage of drug therapy until drug's steady-state equilibrium takes place. In addition, this issue should be taken into consideration when interpreting of free concentrations of such drugs (Hutchison & O'Brien, 2007). Basic medications (e.g., imipramine) bind in the human body to  $\alpha$ 1-acid glycoprotein which increases in elderly people. This reduces the concentration of the free drug and may lessen the pharmacological effects of some basic drugs (Hutchison & O'Brien, 2007).

P- glycoprotein is a cell membrane active efflux pump that contributes to the active drug transportation. There is evidence of reduction of P- glycoprotein activity in elderly people which notably influences the drug transportation across the blood-brain barrier (BBB). This exposes the brain of elderly people to higher than normal amount of medications leading to accumulation of medications in the brain and greater cerebral side effects (Corsonello et al., 2010; Emily R. Hajjar et al., 2014).

### 1.3.1.3 Metabolism

There are decreases in liver volume and hepatic blood flow by up to 30% and 50%, respectively in elderly people (Corsonello et al., 2010; Hutchison & O'Brien, 2007). Bioavailability of medications that undergo extensive first-pass metabolism (e.g. morphine and verapamil) may significantly increase and therefore, lower than normal doses should be used (Hutchison & O'Brien, 2007). In contrast, the bioavailability of prodrugs like some angiotensin converting enzyme (ACE) inhibitors decreases in geriatric patients as these medications need the first pass metabolism to be converted into active ingredients (Corsonello et al., 2010). Phase I reactions (oxidation, reduction and hydrolysis) are more diminished with age than phase II reactions. It is believed that the reduction in phase I metabolism is more attributed to the decrease in liver mass than to the reduced enzyme activity. The clearance of medications that extensively metabolised by phase-I reactions like diazepam and theophylline, decreases and their half-lives increase, so their doses need to be lowered (Emily R. Hajjar et al., 2014). As

metabolised mainly through this pathway are considered as safer alternatives in geriatric patients compared to those extensively metabolised by phase I reactions.

### 1.3.1.4 Elimination

Advancing age associates with an impaired renal function which is related to the reduction in kidney mass, renal blood flow and glomerular filtration in elderly people. However, it is difficult to distinguish between the effect of advancing age per se on renal function and the impact of age-related diseases and polypharmacy. These changes reduce the elimination of medications that extensively excreted by the kidneys (e.g., aminoglycoside, ACE inhibitors, nonsteroidal anti-inflammatory drugs (NSAIDs) digoxin, lithium, and vancomycin). Therefore, the half-lives of these medications are prolonged which leads to an increase in the incidence of ADRs in geriatric patients (Corsonello et al., 2010; Emily R. Hajjar et al., 2014; Mangoni & Jackson, 2003)

Creatinine clearance serves as a representative of renal glomerular filtration and hence it is considered as an indicator of the renal function. No specific formula is established for elderly people to calculate their creatinine clearance. Although several equations were proposed for calculating creatinine clearance, Cockcroft Gault equation (Cockcroft & Gault, 1976) is still the standard formula for dose adjustment of renalyeliminated drugs in elderly people (Emily R. Hajjar et al., 2014; Hutchison & O'Brien, 2007):

Creatinine Clearance (mL/min) = 
$$\frac{(140-age)x \ weight \ (kg)}{serum \ creatinine \ (\frac{\mu mol}{L})} x \ constant$$

Where: The constant is 1.04 for females and 1.23 for males.

For obese patients (patients with body mass index  $\geq$  30), it is suggested to use the lean body weight since muscles are the source of creatinine in the body. Another option is to use Salazar Corcoran formula (Salazar & Corcoran, 1988) for calculating creatinine clearance for obese people.

#### **1.3.2** Changes in Pharmacodynamics of Drugs in Elderly People

Ageing is associated with pharmacodynamic changes that may clinically affect the therapeutic response to several medications independently of age-related pharmacokinetic alterations. In contrast to pharmacokinetic changes, pharmacodynamic changes could not be predicted or quantified (Corsonello et al., 2010). The changes in drug response in geriatric patients may be due to changes in number and affinity of receptors, post-receptor signal transduction and deterioration in homeostatic response in geriatric patients (Emily R. Hajjar et al., 2014). These pharmacodynamic changes - in addition to pharmacokinetic alterations- further complicate the prescribing in geriatric patients. Various body systems are affected by these changes, and therapeutic adjustment may be required in certain cases.

### 1.3.2.1 Central Nervous System

Multiple physiological changes occur in the brain of elderly people. These changes are complex and involve different regions of the brain by different mechanisms including alteration in the number of neurons and receptors, changes in the neurotransmitters and hormonal shifts (gender and growth hormones). Additionally, age-related impairment of BBB permeability increases the concentration of many drugs in the brain. Changes in medications that affect gamma-aminobutyric acid (GABA), cholinergic and dopaminergic systems in the brain are the most prominent and studied medications (Corsonello et al., 2010; Hutchison & O'Brien, 2007).

### 1.3.2.1.1 Changes of Responsiveness to Benzodiazepines

The exact mechanism of increased effect of benzodiazepines in elderly is unclear (Corsonello et al., 2010; Mangoni & Jackson, 2003). A proposed mechanism is the agerelated changes in the GABA system which may increase the sensitivity of geriatric patients to benzodiazepines (Hutchison & O'Brien, 2007). For instance, it was found that the half maximal effective concentration (EC50) of midazolam and flunitrazepam in elderly people is half of that in young adults regardless the absence of pharmacokinetic changes of these medications with age (Corsonello et al., 2010). Therefore, geriatric patients are at higher risk to experience ADRs of benzodiazepines. This is clinically significant as the aggravated hypnosedative effect of benzodiazepines is associated with falls and consequently hip fractures (de Jong, Van der Elst, & Hartholt, 2013).

### 1.3.2.1.2 Changes in Responsiveness to Cholinergic Medications

Several changes in the cholinergic system have been reported to be associated with advancing age. There is a decrease in 1) number of cholinergic neurons; 2) choline uptake from the periphery; 3) choline acetyltransferase levels and 4) cholinergic receptors. Also, the levels of acetylcholinesterase are increased (Hutchison & O'Brien, 2007). These alterations lead to increase the CNS sensitivity to the anticholinergic effects of medications such as sedation, drowsiness, urinary retention, constipation, tachycardia, and confusion. Widespread drug classes are demonstrated to have anticholinergic effects like antispasmodics, bronchodilators, antihistamines, skeletal muscle relaxants, and antipsychotic drugs (Collamati et al., 2016). Because of that, the anticholinergic burden should be considered when prescribing multiple drugs with anticholinergic effects for geriatric patients.