A STUDY ON LIFE CYCLE COST (LCC) ANALYSIS OF RIGID PAVEMENT MAINTENANCE AND REHABILITATION IN THE MALAYSIAN CONSTRUCTION INDUSTRY

BY

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ABSTRACT

Life Cycle Cost (LCC) analysis has been recognized as one of the emerging methodologies in cost estimation that can evaluate and compares the costeffectiveness of different rigid pavement maintenance and rehabilitation alternatives throughout its service lifespan. However, the main drawback of LCC implementation resides with the inferior acquisition of quality cost data inputs (i.e. data availability, accessibility, currency and reliability) to produce a comprehensive and reliable LCC output. In relation to rigid pavement maintenance and rehabilitation in the Malaysian construction industry, the objectives of this research are; i) to identify the most appropriate mathematical cost model that can be applied in the LCC analysis, ii) to assess the quality of cost data inputs with regard to its availability, accessibility, currency and reliability that can be used for LCC analysis, and iii) to evaluate the most appropriate strategies that can be carried out to improve the quality of cost data inputs for LCC analysis. In order to answer the research objectives, qualitative research strategy was adopted, which face-to-face interview was carried out with the respondents who have skills, knowledge or experience in the LCC analysis, or rigid pavement maintenance and rehabilitation works to get their opinions on the subject matter. The literature review also was adopted in this research to study the background of the research and to construct the interview questionnaire. The findings of this research established that the Net Present Value (NPV) is the most appropriate mathematical cost model to be used for LCC analysis of rigid pavement maintenance and rehabilitation. Nevertheless, this research also presents that the cost data inputs for LCC analysis are not ready and quality enough to be used for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry. Thus, this research presents strategies that are considered very appropriate to be proposed in facilitating the cost estimators to elevate the quality of the cost data inputs for LCC analysis, which are; i) the responsible organizations (i.e., PWD, CIDB, MHA, etc.) and educational institution can do research collaborations to improve the LCC applications and the quality of cost data inputs to be used for LCC analysis of rigid pavement maintenance and rehabilitation, ii) there is also need for establishment of LCC specific team in the field of LCC analysis of rigid pavement maintenance and rehabilitation, and iii) the responsible organizations can impose every private agency and concessionaires to submit a well-organized data in order to develop a centralized database for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry.

خلاصة البحث

كواحدة من المنهجيات الناشئة في تقدير التكلفة (LCC) لقد تم التعرف على تحليل تكلفة دورة الحياة التي يمكن أن تقيم وتقارن بين فعالية التكلفة لمختلف بدائل صيانة الرصيف الجامدة وإعادة التأهيل طوال في الحصول على مدخلات منخفضة LCC فترة خدمتها. ومع ذلك، يكمن العيب الرئيسي في تنفيذ التكلفة لبيانات الجودة (أي توفر البيانات وإمكانية الوصول إليها والعملة والموثوقية) لإنتاج مخرجات شاملة وموثوقة. فيما يتعلق بصيانة الأرصفة الصلبة وإعادة تأهيلها في صناعة البناء الماليزية، فإن LCC ، ب) LCC أهداف هذا البحث هي: أ) تحديد أنسب نموذج تكلفة رياضية يمكن تطبيقه في تحليل تقييم جودة مدخلات بيانات التكلفة فيما يتعلق بتوفرها وإمكانية الوصول إليها وعملتها وموثوقيتها التي ، ج) وتقييم أنسب الاستراتيجيات التي يمكن تنفيذها لتحسين جودة LCC بمكن استخدامها لتحليل من أجل الإجابة على أهداف البحث. وتم الاعتماد على LCC مدخلات بيانات التكلفة لتحليل إستراتيجية البحث النوعي، والتي أجريت مقابلة وجهاً لوجه مع المجيبين الذين لديهم مهارات أو معرفة أو ، أو أعمال صيانة وإعادة تأهيل الرصيف الجامدة للحصول على آرائهم حول LCC خبرة في تحليل الموضوع. كما تم اعتماد مراجعة الأدبيات في هذا البحث لدراسة خلفية البحث وبناء استبيان المقابلة. هو أنسب نموذج تكلفة رياضية ليتم (NPV) لقد أثبتت نتائج هذا البحث أن صافي القيمة الحالية لصيانة الأرصفة الصلبة وإعادة تأهيلها. ومع ذلك، يعرض هذا البحث أيضًا LCC استخدامه لتحليل LCC ليست جاهزة وجودة كافية لاستخدامها في تحليل LCC أن مدخلات بيانات التكلفة لتحليل لصيانة الأرصفة الصلبة وإعادة تأهيلها في صناعة البناء الماليزية. وبالتالي، يقدم هذا البحث استراتيجيات LCC مناسبة للغاية لاقتراحها في تسهيل مقدري التكلفة لرفع جودة مدخلات بيانات التكلفة لتحليل ، إلخ) CIDB ، MHA، وهي: 1) يمكن للمنظمات المسؤولة (أي الأشخاص ذوي الإعاقة ، وجودة مدخلات بيانات LCC والمؤسسة التعليمية القيام بعمليات تعاون بحثية لتحسين تطبيقات هناك حاجة أيضًا لصيانة الأرصفة الصلبة وإعادة تأهيلها ، LCC (2 التكلفة لاستخدامها في تحليل لصيانة وإعادة تأهيل الرصيف الجامدة، 3) ويمكن LCC في مجال تحليل LCC إلى إنشاء فريق محدد للمؤسسات المسؤولة فرض كل وكالة خاصة وأصحاب الامتياز على تقديم بيانات منظمة بشكل جيد لصيانة الرصيف الصلب وإعادة التأهيل في صناعة LCC من أجل تطوير مركزية قاعدة بيانات لتحليل البناء الماليزية.

APPROVAL PAGE

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DECLARATION

I hereby declare that this thesis is the result of my	own investigations, except	
where otherwise stated. I also declare that it has no	ot been previously or concurrently	
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TABLE OF CONTENT

Abstract	ii
Abstract in Arabic	iii
Approval page	iv
Declaration	v
Copyright	vi
Acknowledgements	vii
List of Tables	xii
List of Figures	xvii
List of Abbreviations	.xviii
CHAPTER ONE: INTRODUCTION TO THE RESEARCH	1
1.1 Introduction	1
1.2 Background Study	1
1.3 Problem Statement	3
1.4 Research Gap	7
1.5 Research Questions	8
1.6 Aim and Objectives of the Research	9
1.7 Scope and Limitation of the Research	10
1.8 Significance of the Research	10
1.9 Organization of the Research	
1.10 Papers in Support of this Thesis	12
CHAPTER TWO: RIGID PAVEMENT MAINTENANCE AND	
REHABILITATION	
2.1 Introduction	
2.2 Road Pavement in Malaysia	
2.2.1 Road Classification	
2.3 Rigid Pavement	
2.3.1 Types of rigid pavement	
2.3.2 Rigid pavement in Malaysia	
2.4 Rigid Pavement Maintenance and Rehabilitation	
2.4.1 Pavement Maintenance	
2.4.1.1 Preventive maintenance	
2.4.1.2 Routine Maintenance	
2.4.1.3 Periodic Maintenance	
2.4.1.4 Corrective maintenance	
2.4.1.5 Emergency maintenance	
2.4.2 Pavement Rehabilitation	
2.4.3 Types of Rigid Pavement Distress	
2.4.4 Types of Rigid Pavement Maintenance and Rehabilitation	39
2.4.5 The process of Selecting Treatment Method of Rigid	
Pavement Maintenance and Rehabilitation	
Pavement Maintenance and Rehabilitation	46

2.4.6.2 Physical Condition Assessment	48
2.4.6.3 Analysis of maintenance and rehabilitation design	
2.4.6.4 Selection of remedial measures	
2.4.6.5 Cost analysis	52
2.4.6.6 Implementation	
CHAPTER THREE: LIFE CYCLE COST (LCC) OF RIGID PAVEMENT MAINTENANCE AND REHABILITATION IN THE MALAYSIAN	
CONSTRUCTION INDUSTRY	
3.1 Introduction	
3.2 Life Cycle Cost (LCC)	
3.2.1 Significance of LCC for Maintenance and Rehabilitation	
3.2.2 LCC in Rigid Pavement Maintenance and Rehabilitation	
3.3 Mathematical Cost Models for LCC Conversion	61
3.4 LCC Cost Data Inputs	66
3.4.1 Source of Cost Data for LCC	68
3.4.2 Cost Components of LCC of Rigid Pavement Maintenance	
and Rehabilitation	70
3.4.2.1 Agency Cost	72
3.4.2.2 Road User Cost	
3.4.2.3 Financial Cost	
3.4.2.4 Analysis Period	80
3.4.3 Quality Cost Data Input Requirements for LCC	
3.4.4 State of Cost Data in the Malaysian Construction Industry	
3.4.5 LCC Practice in Malaysia and Other Countries	
3.4.5.1 Challenges of LCC Application for Rigid Pavement	
Maintenance and Rehabilitation	91
3.4.5.2 Strategies to Improve Cost Data Inputs Quality for LCC of	
Rigid Pavement Maintenance and Rehabilitation	
	0.5
CHAPTER FOUR: RESEARCH METHODOLOGY	
4.1 Introduction	
4.2 Research Strategy	
4.3 Research Model	
4.3.1 Literature Review	
4.3.2 Fieldwork Approach	
4.3.2.1 Face to face Interview	
4.3.2.1.1 The reasons for adopting the face to face Interview.	
4.3.2.1.2 Sampling of the face to face interview respondents	
4.3.2.1.3 Questionnaire design for the face to face interview	111
4.3.2.1.4 The rationale of the face to face interview	
questions	113
4.3.2.1.5 Piloting and revision of face to face interview	
questionnaire	120
4.3.2.1.6 The limitation of face to face interview	121
4.3.2.1.7 The method used to analyze responses of the face	
to face interview	123
4.3.2.1.8 Method used to enhance the reliability and validity	
of the face to face interview	130

4.4 Summ	nary	132
CHADTED EIX	E. DATA ANALYCEC AND DECLITE	122
	E: DATA ANALYSES AND RESULTS	
	uction	
	on A: Background Information of Respondent in LCC Analysis	
	gid Pavement Maintenance and Rehabilitation in the Malaysian	
	truction Industry	
	Study Results	138
5.3.1	Section B: Identifying the Mathematical Cost Model and Quality of Cost Data Inputs for LCC Analysis of Rigid Pavement Maintenance and Rehabilitation in the Malaysian	120
_	Construction Industry	
	5.3.1.1 Research Objective 1	
	5.3.1.2 Research Objective 2	143
5.3.2	Section C: Identifying the Strategies to Improve the Quality of Cost Data Inputs for LCC Analysis in Rigid Pavement Maintenance and Rehabilitation in the Malaysian	176
5	Construction Industry	
	5.3.2.1 Research Objective 3	
5.4 Summ	nary	182
CILADTED CIV	. DISCUSSION OF DESEA DOLLEUNDINGS	102
	: DISCUSSION OF RESEARCH FINDINGSuction	
	ssion of the Research Findings	
	Research Objective 1	
	Research Objective 2	
	Research Objective 3	
6.3 Summ	nary	199
CHAPTER SEX	VEN: CONCLUSION AND RECOMMENDATIONS	200
	uction	
	w of Research Objectives and Conclusions	
	•	200
	Research Objective 1	
	Research Objective 2	
	Research Objective 3	
	rch Contributions	
	Contribution to academic knowledge	
	Contribution to the industry	
7.4 Resea	rch Limitations	205
7.5 Recor	nmendation for Further Research	206
REFERENCES		207
APPENDIX A:	SAMPLE OF LETTER FOR FACE TO FACE	
	INTERVIEW	218
APPENDIX B:	SAMPLE OF FACE TO FACE INTERVIEW	
	QUESTIONNAIRE	219
APPENDIX C:	REMARKS FOR FACE TO FACE INTERVIEW	
	OUESTIONNAIRE	230

APPENDIX D:	RESULTS OF DESCRIPTIVE STATISTICS FOR FACE	
	TO FACE INTERVIEW	234
APPENDIX E:	CONTENT ANALYSIS BASED ON THE COMMENTS	
	BY THE RESPONDENTS	246
APPENDIX F:	TRANSCRIPTION OF FACE TO FACE INTERVIEW	260
APPENDIX G:	LIST OF PAPERS IN SUPPORT OF THIS THESIS	274

LIST OF TABLES

Table 2.1	Concession companies and maintenance regions	16
Table 2.2	Rigid pavement layers	19
Table 2.3	Summary of differences between flexible pavement and rigid pavement	21
Table 2.4	Types of rigid pavement	22
Table 2.5	Location of constructed rigid pavement in Malaysia	24
Table 2.6	Types of rigid pavement distress	37
Table 2.7	Types of maintenance and rehabilitation of rigid pavement	39
Table 2.8	Maintenance and rehabilitation techniques best-suited for rigid pavement distresses	41
Table 2.9	PCI rating	50
Table 2.10	Types of tests for pavement condition evaluation	51
Table 3.1	Mathematical cost models used to estimate LCC analysis	65
Table 3.2	Initial capital cost	73
Table 3.3	Maintenance and rehabilitation cost	73
Table 3.4	Travel delay cost	76
Table 3.5	Vehicle operating cost	77
Table 3.6	Crash cost	77
Table 3.7	The quality degrees of cost data inputs requirements for LCC analysis	82
Table 3.8	State of published agency cost data in the Malaysian construction industry	85
Table 3.9	State of published financial cost data in the Malaysian construction industry	87
Table 3.10	Summary of LCC practice in Malaysia and several other countries	88

105125
125
128
135
140
141
142
143
145
146
146
148
149
149
150
150
151

Table 5.15	Summarised item responses for Question 3 (Data availability for financial cost)	152
Table 5.16	Source of data availability for financial cost provided by the respondents	153
Table 5.17	Summarised item responses for Question 3 (Data availability for Analysis period)	153
Table 5.18	Source of data availability for analysis period provided by the respondents	154
Table 5.19	Summarised item responses for Question 3 (Data accessibility for Agency cost A1- Maintenance and rehabilitation cost)	155
Table 5.20	Comments by the respondents on the state of data accessibility for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry	156
Table 5.21	Summarised item responses for Question 3 (Data accessibility for Agency cost A2- Salvage cost)	158
Table 5.22	Summarised item responses for Question 3 (Data accessibility for Road user cost B1- Travel delay cost)	158
Table 5.23	Summarised item responses for Question 3 (Data accessibility for Road user cost B2- Vehicle operating cost)	159
Table 5.24	Summarised item responses for Question 3 (Data accessibility for Road user cost B3- Crash cost)	160
Table 5.25	Summarised item responses for Question 3 (Data accessibility for Financial cost)	161
Table 5.26	Summarised item responses for Question 3 (Data accessibility for Analysis period)	162
Table 5.27	Summarised item responses for Question 3 (Data currency for Agency cost A1- Maintenance and rehabilitation cost)	162
Table 5.28	Comments by the respondents on the state of data currency for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry	163
Table 5.29	Summarised item responses for Question 3 (Data currency for Agency cost A2- Salvage cost)	164
Table 5.30	Summarised item responses for Question 3 (Data currency for Road user cost B1- Travel delay cost)	165

Table 5.31	Summarised item responses for Question 3 (Data currency for Road user cost B2- Vehicle operating cost)	166
		100
Table 5.32	Summarised item responses for Question 3 (Data currency for Road user cost B3- Crash cost)	167
Table 5.33	Summarised item responses for Question 3 (Data currency for Financial cost)	167
Table 5.34	Summarised item responses for Question 3 (Data currency for Analysis period)	168
Table 5.35	Summarised item responses for Question 3 (Data reliability for Agency cost A1- Maintenance and rehabilitation cost)	169
Table 5.36	Comments by the respondents on the state of data reliability for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry	170
Table 5.37	Summarised item responses for Question 3 (Data reliability for Agency cost A2- Salvage cost)	171
Table 5.38	Summarised item responses for Question 3 (Data reliability for Road user cost B1- Travel delay cost)	172
Table 5.39	Summarised item responses for Question 3 (Data reliability for Road user cost B2- Vehicle operating cost)	173
Table 5.40	Summarised item responses for Question 3 (Data reliability for Road user cost B3- Crash cost)	173
Table 5.41	Summarised item responses for Question 3 (Data reliability for Financial cost)	174
Table 5.42	Summarised item responses for Question 3 (Data reliability for Analysis period)	175
Table 5.43	Summarised item responses for Question 4	176
Table 5.44	Summarised item responses for Question 5	178
Table 5.45	New strategies to improve the quality of cost data for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry based on additional comments by the respondents	180
Table 5.46	Comments by the respondents on the suggestions on the strategies to improve the quality of cost data for LCC analysis of rigid	

	pavement maintenance and rehabilitation in the Malaysian construction industry	181
Table 6.1	Comments related to mathematical cost model for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry	184
Table 6.2	Comments by the respondents on the state of data availability for rigid pavement maintenance rand rehabilitation cost	185
Table 6.3	Comments by the respondents on the state of data availability for salvage cost	186
Table 6.4	Comments by the respondents on the state of data availability for road user cost	187
Table 6.5	Summarised of the state of data availability and data sources for each of the cost components for LCC analysis of rigid pavement maintenance and rehabilitation	188
Table 6.6	Comments by the respondents on the state of data accessibility for rigid pavement maintenance and rehabilitation cost	189
Table 6.7	Comments by the respondents on the state of data currency for rigid pavement maintenance and rehabilitation cost	191
Table 6.8	Comments by the respondents on the state of data reliability for rigid pavement maintenance and rehabilitation cost	192
Table 6.9	Summarised of the state of data availability, accessibility, currency and reliability for each of cost components for LCC analysis of rigid pavement maintenance and rehabilitation	194
Table 6.10	Comments by the respondents on the suggestions on the strategies to improve the quality of cost data for LCC analysis of rigid pavement maintenance and rehabilitation in the Malaysian construction industry	198
Table 7.1	Summarised of the state of data availability, accessibility, currency and reliability for each of cost components for LCC analysis of rigid pavement maintenance and rehabilitation	202

LIST OF FIGURES

Figure 2.1	Rigid pavement layers	19
Figure 2.2	Load distribution for rigid pavement and flexible pavement	21
Figure 2.3	Jointed plain concrete pavement (JPCP)	22
Figure 2.4	Jointed reinforced concrete pavement (JPCP)	23
Figure 2.5	Continuously reinforced concrete pavement (CRCP)	23
Figure 2.6	Pavement condition and maintenance stages	28
Figure 2.7	Pavement rehabilitation process	44
Figure 2.8	The general process of selecting the most appropriate maintenance approach for existing rigid pavement	45
Figure 2.9	Pavement evaluation process	47
Figure 3.1	Cost components for LCC analysis of rigid pavement maintenance and rehabilitation	71
Figure 4.1	The flow of research methodology	100

LIST OF ABBREVIATIONS

ASR Alkali-silica reaction

ASTM American Society for Testing and Materials

BAM Board of Architects Malaysia BEM Board of Engineers Malaysia BIM Building Information Modelling

BNM Bank Negara Malaysia (Central Bank of Malaysia)

BQSM Board of Quantity Surveyors Malaysia

BS British Standard

BS ISO British Standard and International Organization for Standardization

BSI British Standard Institution

Caltrans California Department of Transportation

CAPEX Capital expenses

CBS Cost breakdown structure

CIDB Construction Industry Development Board

CPR Concrete Pavement Repair

CRCP Continuously Reinforced Concrete Pavement

CSR Central Spine Road

DBKL Dewan Bandaraya Kuala Lumpur (Kuala Lumpur City Hall)

DCP Dynamic Cone Penetrometer
DMI Distress Manifestation Index
DOSM Department of Statistics Malaysia

DPB Discounted payback
DT Destructive test

ECER East Coast Economic Region EPU Economic Planning Unit et al. (et alia); and others

etc. (et cetera); and so forth pages that follow

EUAC Equivalent Uniform Annual Cost

ft. feet

FWD Falling Weight Deflectometer FWHA Federal Highway Administration

HDM-4 Highway Development and Management

i.e. That is

IRI International Roughness Index

IRR Internal rate of return

JPCP Jointed Plain Concrete Pavement
JRCP Jointed Reinforced Concrete Pavement
KKH Kos Kitaran Hayat (Life Cycle Cost)

km kilometre LCC Life Cycle Cost

m meter

MHA Malaysian Highway Authority

mm millimetre n.d. no date

N3C National Construction Cost Centre NATO North Atlantic Treaty Organization

NCHRP National Cooperative Highway Research Program

NDT Non-destructive test
NPV Net Present Value
NPW Net Present Worth
NS Net Saving

NSE North-South Expressway Project

OPEX Operation expenses

p. page

PAM Malaysian Institute of Architects
PCC Portland Cement Concrete
PCI Pavement Condition Index
PFI Private Finance Initiative
Ph.D Doctor of Philosophy

PIARC World Road Association (Permanent International Association of Road Congresses)

PLUS Projek Lebuhraya Utara Selatan PMS Pavement Management System

PROPEL Projek Penyelenggaraan Lebuhraya Berhad

PV Present value

PWCIC Public Work Cost Information Centre

PWD Public Works Department R&D Research and development

RATOL Rates Online

RCI Riding Comfort Index RCR Riding Comfort Rating

Resp. Respondents

RICS Royal Institution of Chartered Surveyors RISM Royal Institution of Surveyors Malaysia

SD Standard deviation
SDI Surface Distress Index
SPB Simple Payback
sq. ft. square feet
U.S. United States

CHAPTER ONE

INTRODUCTION TO THE RESEARCH

1.1 INTRODUCTION

The focus of the study is Life Cycle Cost (LCC) cost data input requirements for the maintenance and rehabilitation of rigid pavement. This chapter illustrates the introductory elements of the research, which include:

- i. Background study
- ii. Problem statement
- iii. Research gap
- iv. Research questions
- v. Aim and objectives of the research
- vi. Scope and limitation of the research
- vii. Significance of the research
- viii. Organization of the research
- ix. Papers in support of this thesis

1.2 BACKGROUND STUDY

The impact of road infrastructures on society and the environment has been the object of scrutiny investigation in recent time. In Malaysia, physical road infrastructures such as roads and highways are imperative for providing social access to employment, health, education, and daily activities in order to stimulate the social and economic function in society (Ramachandran, 2017). Due to its imperative function, road infrastructures in Malaysia are undergoing rapid development that has improved

nationwide linkages in achieving better connectivity. As a part of the social obligation to its citizen, the government of Malaysia has invested RM2 billion for the construction of new Pan-Borneo Highway and RM934 million for the construction of rural roads in Malaysia to improve accessibility in Sabah and Sarawak (Mohamed Radhi & Hamid, 2018). A recent report by Economic Planning Unit indicates The National Road Development Index, which is used to measure the level of road development in Malaysia, has risen from 1.42 to 2.29 in the year 2010 to 2015 as a result from this investment (Eleventh Malaysia Plan (2016-2020), Economic Planning Unit, 2015). This positive indicator reflects the Government's commitment to ensuring the road infrastructures as public assets are adequate for public access to help the nation grow and develop.

However, although the Malaysian government has allocated an immense amount of money to construct new roads and highways, the quality and performance of existing roads throughout its service lifespan are still relatively low compared to the developed nation (Mohd Yunus, 2017; Wan Omar, 2015). The drawback of this situation leads to increasing future cost of maintenance and rehabilitation. A recent report stated that a road maintenance company's outstanding order book has been amounting at staggering RM4.2 billion up to 2026 and is projected to grow continuously from the 2018 financial year onwards (Mohammed, 2018). The effect of this situation is substantial, as it may further hamper economic and urban growth in the long run. Thus, addressing this problem requires proper mitigation strategies to prolong its useful service life (Mohamed, 2010; World Road Association (PIARC), 2014).

One of such strategies is the usage of the more resistant type of pavement such as rigid pavement. In general, road infrastructure in Malaysia can be divided into two

main types, which are flexible pavement and rigid pavement. The benefits of rigid pavement over flexible pavement resides with its ability to provide longer service life with a lower requirement for maintenance as it is constructed from higher quality and more durable materials (Khan & Harwalkar, 2014). However, due to its higher associated cost (Mohod & Kadam, 2016) and complicated construction method (Suanmali & Ammarapala, 2010) compared to the flexible pavement, the implementation of rigid pavement is quite limited. The effect of this situation leads in its deployment on only selected roads especially in Malaysia rural areas and high traffic flow expressway (i.e. North-South Expressway) (Public Works Department, 2014; Yaacob, 2018).

1.3 PROBLEM STATEMENT

While selecting the construction method is vital in determining the overall quality of road pavement, cost also plays a vital criterion for successful project execution and future maintenance work. Due to road infrastructures complexity involving a nation-wide system with the different geotechnical and meteorological condition, a comprehensive methodology of cost calculation is needed before the execution of any road development.

Life Cycle Cost (LCC) can be defined as an economic assessment technique that applies mathematical method to estimate total ownership costs of the asset which associates the initial capital cost with the operation costs, maintenance costs, replacement costs, financial costs, and salvage cost over an anticipated life (Ayob, Abdul Rashid, Bidi, & Ahmad Jasmi, 2017; BS 8544, 2013; BS ISO 15686-5, 2008; Goh, 2011; Wan Hassan, Zakaria, & Ismail, 2014b). In literature, LCC analysis is one of the emerging methodologies in cost calculation to determine the costing at the

initial stage of infrastructure's development and feasibility of the project development until project completion. It acts as a mechanism that permits transportation organizations to measure the differential costs of alternative investment options for a given project (Ramachandran, 2017). This also includes the evaluation for pavement types selection, the initial quality and performance of pavement design, and the financial impact on the road agency and the road users. The versatility of LCC analysis is imperative, as it can also be used to examine the most cost-effective maintenance strategies in preserving the existing road pavement projects (Babashamsi, Md Yusoff, Ceylan, Md Nor, & Jenatabadi, 2016a). Furthermore, it is also has been highlighted in the Strategy A2 in Chapter 7 of the Eleventh Malaysia Plan (2016-2020), where maintaining the roads in good condition is essential for ensuring the effectiveness of the road network, and functions as required standards throughout its lifespan (Economic Planning Unit, 2015).

However, the accuracy and reliability of LCC analysis estimation are highly dependent on the quality of available data inputs (Heralova, 2017; Mahamid, 2013). Data inputs are the vital information which required to compute the LCC analysis using the mathematical cost models. There are various types of data inputs for LCC analysis. However, the main focus of this research is on the cost data inputs. As stated by the World Road Association (PIARC) (2013), cost data is the most important criteria in a pavement management organization to produce better decisions for pavement management. In this sense, the quality of the cost data inputs used in the LCC estimation including the agency cost data, road user cost data, and financial cost data are depended on the ability of the data to give accurate information to be incorporated into LCC analysis practice. It is also crucial to implement LCC analysis during the early stage of the feasibility study before undertaking any road

infrastructure project. The advantage of performing a feasibility study helps the stakeholders to determine whether the project is suitable to be constructed (Heralova, 2017) after considering associated costs and its impact on society and the environment. While conducting LCC estimation during the feasibility stage is essential, there are some significant difficulties arise in this stage. Such concerns involved the lack of data availability, deficiency of preliminary information, unavailability of road work cost database and the shortage of current cost estimation methods for road infrastructure (Heralova, 2017).

On the other note, maintenance can be described as works carried out to maintain the road condition to its required functional state of operation (Chang, Rodriguez, Yapp, & Pierce, 2018). Maintenance works are generally performed to maintain the condition of the pavement and extend its performance whereas repair works act as remedial works which to restore the damaged or distressed pavement to their normal operating condition (Adnan, Mohd Fauzi, Rahmat, & Supardi, 2012). In Malaysia, the degraded pavement begins to show signs of defect, which requires repair as part of the pavement maintenance works (Adnan et al., 2012; Wan Omar, 2015). Typically, the repairing work involves only the surface of the pavement without taking into account the structural works. On the other hand, rehabilitation works are more extensive, which involves the structural strength enhancement to extends the service life of the pavement. Thus, the rehabilitation works require a substantially more extended period to carry out and more costly (Chang et al., 2018; Wan Omar, 2015). Besides that, upgrading works are also categorized as one of the rehabilitation works, which involve the structure of the pavement (Sahari, 2018).

From this study perspective on the rigid pavement maintenance and rehabilitation, the remedy of this concern requires LCC analysis to be at an assured