# FREQUENCY SCALING IN MILLIMETRE-WAVE RAIN ATTENUATION ESTIMATION FOR SATELLITE LINK IN TROPICAL REGIONS

BY

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### ABSTRACT

The congestion at the lower frequency bands is pushing the demands for the usage and operation in the higher bands. The bands above 10 GHz are now required to satisfy the tremendously increasing needs in satellite communication (SatCom) systems. The biggest problem in deploying frequencies above 10 GHz in tropical-equatorial regions is that such areas will experience acute degradation of signal quality due to heavy rainfall throughout the year. A reliable estimation of rain attenuation is required. Dependable fade margins are required in mitigating the harsh signal losses. It is found that data validation for rain attenuation estimation using the frequency scaling technique is not available in any previous studies conducted in tropical regions. It has been suggested that instead of using the conventional method of predicting rain attenuation using the point rainfall rate information, an applicable non-meteorological technique should also be established. A frequency scaling technique can be the alternatives mean to predict rain attenuation when rainfall data is not available. The objectives of the research entail rain-induced attenuation studies for SatCom in tropical regions. They comprise identifying the best fade margin for rain attenuation at various links, formulating a new frequency scaling model with improved accuracy, and validating the proposed model. The methodologies involved in the study encompass the processing of the beacon signals into first-order statistics of rain attenuation. This, later, leads to the generation of monthly and annual rain attenuation Cumulative Distribution Functions (CDFs). The worst month analysis for rain intensity and rain attenuation was also carried out. The signal loss is expected to be appalling in the worst month because of the high occurrence of rain events. The required fade margin was determined from an exceedance at a specific point from the annual CDF. In brief, the frequency scaling model was derived based on the correlation between the attenuation ratio of a higher and lower frequency against the attenuation at a lower frequency. The newly developed formulation was utilized to generate new CDFs of attenuation at different frequencies. The proposed model offers a lower RMSE value and percentage error of 2.8 and 11.3% respectively. In contrast, the generic method suggested by the ITU-R only managed to perform prediction with RMSE value and percentage errors of 28.3 and 28% accordingly. The new model was validated using data set from alternative years and alternate locations. In conclusion, the results from the research demonstrate a model that can be used in the tropical-equatorial region in a way denoting the achievement of fulfilling the stated objectives. The satellite signal performance can be improved by applying developed mitigation techniques with an economically viable cost where dependable fade margins can be attained. The newly developed frequency scaling technique can offer the right margin to achieve the required quality of service (QoS) for future SatCom in supporting near-future 5G communication. Consistent connectivity for high-speed broadband communication demand in delivering digital and internet applications during heavy precipitation can be attained.

### خلاصة البحث

يؤدي الازدحام في نطاقات التردد المنخفضة إلى زيادة الطلب على استخدام وتشغيل نطاقات التردد الأعلى. لذلك، فإنَّ النطاقات فوق 10 جيجاهرتز مطلوبة الآن لتلبية الاحتياجات المتزايدة بشكل كبير في أنظمة اتصالات الأقمار الاصطناعية (SatCom). ولكنّ أكبر مشكلة في نشر الترددات فوق 10 جيجاهرتز في المناطق الاستوائية المدارية هي أن هذه المناطق ستشهد تدهوراً حاداً في جودة الإشارة بسبب هطل الأمطار الغزيرة على مدار العام. فمن المطلوب تقدير موثوق للتوهين الناجم عن المطر. وكذلك، هناك حاجة لهوامش تلاشى موثوقة للتخفيف من الخسائر الحادّة في الإشارة. لقد وجد أن التحقق من صحة البيانات لتقدير التوهين الناجم عن المطر باستخدام تقنية تعديل التردد (Frequency Scaling) لم يرد في أي دراسات سابقة أجريت في المناطق المدارية. لذا يقترح هذا البحث أنه بدلاً من استخدام الطريقة التقليدية للتنبؤ بالتوهين الناجم عن المطر باستخدام معلومات معدل هطل المطر النقطي، ينبغي أيضاً إنشاء تقنية قابلة للتطبيق غير متعلقة بالأرصاد الجوية. ويمكن أن تكون تقنية تعديل التردد هي الوسيلة البديلة للتنبؤ بالتوهين الناجم عن المطر عندما لا تتوفر بيانات هطل الأمطار. تتضمن أهداف البحث دراسات التوهين الناجم عن المطر لاتصالات الأقمار الاصطناعية (SatCom) في المناطق المدارية. وتشمل تحديد أفضل هامش تلاشى للتوهين الناجم عن المطر في وصلات مختلفة، وصياغة نموذج جديد لتعديل التردد بدقة محسنة، والتحقق من صحة النموذج المقترح. كما تشمل المنهجيات المتضمنة في الدراسة معالجة الإشارات المرسلة للحصول على إحصائيات أولية للتوهين الناجم عن المطر. ويؤدي هذا لاحقاً إلى توليد دوالّ توزيع تراكمي (CDFs) للتوهين الناجم عن المطر شهرية وسنوية. كما تم إجراء تحليل الشهر الأسوأ لكثافة المطر والتوهين الناجم عن المطر. حيث من المتوقع أن تكون خسارة الإشارة مروعة في أسوأ شهر بسبب ارتفاع معدل هطل المطر. وقد تم تحديد هامش التلاشي المطلوب من خلال تجاوز الحد الأقصى عند نقطة معينة من (CDF) السنوي. باختصار، تم اشتقاق نموذج تعديل التردد بناءً على الارتباط بين نسبة التوهين لتردد أعلى وتردد أدبى مقابل التوهين عند تردد أدبي. كما تم استخدام الصيغة المطورة حديثاً لتوليد (CDFs) جديدة للتوهين عند ترددات مختلفة. يقدم النموذج المقترح قيمة (RMSE) ونسبة خطأ بمقدار 2.8 و 11.3٪ على التوالي. وعلى النقيض من ذلك، فإن الطريقة العامة التي اقترحها قطاع الاتصالات الراديوية (ITU-R) لم تتمكن إلا من التنبؤ بقيمة (RMSE) ونسبة خطأ بمقدار 28.3 و 28٪ على التوالي. تم التحقق من صحة النموذج الجديد باستخدام مجموعة بيانات من سنوات مختلفة ومواقع مختلفة. وفي الختام، تظهر نتائج البحث نموذجاً يمكن استخدامه في المنطقة الاستوائية المدارية بطريقة تدل على تحقيق الأهداف المذكورة. يمكن تحسين أداء إشارة القمر الاصطناعي من خلال تطبيق تقنيات تخفيف مطورة بتكلفة مجدية اقتصادياً، حيث يمكن تحقيق هوامش تلاشي موثوقة. ويمكن أن توفر تقنية تعديل التردد المطورة حديثاً الهامش الصحيح لتحقيق جودة الخدمة (QoS) المطلوبة لاتصالات الأقمار الاصطناعية (SatCom) المستقبلية في دعم اتصالات الجيل الخامس (5G) في المستقبل القريب. كما يمكن تحقيق اتصال ثابت لتلبية حاجة اتصالات النطاق العريض عالي السرعة في تقديم الرقمية وتطبيقات الإنترنت أثناء هطل الأمطار الغزيرة.



## APPROVAL PAGE

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### DECLARATION

I hereby declare that this dissertation is the result of my investigations, except where otherwise stated. I also declare that it has not been previously or concurrently submitted as a whole for any other degrees at IIUM or other institutions.

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11<sup>th</sup> April 2023 Date .....

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This thesis is dedicated to my beloved father and my late mother for laying the

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### **Table of Contents**

Abstract	
Approval Page	
Declaration	
Copyright Page	
Acknowledgements	
Table of Contents	
List of Tables	
List of Figures	
List of Figures	X V
CHAPTER ONE: INTRODUCTION	1
1.1 Background of the Study	1
1.2 Problem Statement	5
1.3 Purpose of the Study	10
1.4 Research Objectives	11
1.5 Research Questions	11
1.6 Theoretical Framework	
1.7 Research Scope	13
1.8 Research Philosophy	
1.9 Research Hypotheses	15
1.10 Significance of the Study	
1.11 Limitations of the Study	
1.12 Definition of Terms	17
1.13 Organization of the Thesis	18
1.14 Chapter Summary	
CHAPTER TWO: LITERATURE REVIEW	21
2.1 Introduction	21
2.1.1 Satellite Communication System	22
2.1.2 Terrestrial Communication System	23
2.2 Importance of Satellite Communication System	24
2.3 Distribution of Frequencies Spectrum	27
2.3.1 Microwave Bands (C, X and Ku-bands)	29
2.3.2 Millimetre Wave (Ka, Q and V-bands)	30
2.4 Classification of Tropical Climate	31
2.4.1 Distribution of Equatorial Climate	32
2.4.2 Malaysia's Climate	32
2.4.3 Precipitation Type in Tropical Regions	36
2.5 Propagation Impairment in Satellite-Earth Link at Higher	
Frequencies	37
2.6 Fade Margin Requirement for Good Quality of Services (QOS)	39
2.7 Rain Attenuation Prediction for Earth-Space Telecommunication	
System	42

	2.7.1 Approaches for the Prediction of Rain Attenuation	44
	2.7.2 ITU-R Prediction Method of Determining Rain	
	Attenuation	44
	2.7.3 Meteorological Technique	46
	2.7.4 Non-meteorological Technique	48
	2.7.4.1 Frequency Scaling	49
	2.7.4.2 Elevation Scaling	49
	2.7.4.3 Path Length Scaling	
	2.7.4.4 Polarization Scaling	
2.	8 Frequency Scaling Technique	52
	2.8.1 Statistical Frequency Scaling	54
	2.8.2 Instantaneous Frequency Scaling	
	2.8.3 Previous Model of Frequency Scaling	
	2.8.3.1 ITU-R 618-13 Model (2017)	
	2.8.3.2 Hodge's Model (1977)	
	2.8.3.3 Battesti's Model (1982)	
	2.8.3.4 Kheirallah's Model (1980)	
	2.8.3.5 CCIR (1980)	
	2.8.3.6 Simple Power Law (SPL) Model (1974-1995)	
	2.8.3.7 Boithias's Model (1989)	
	2.8.3.8 Zhou's Model (1999)	
	2.8.4 Previous Related Research	
	2.8.5 Research Gap	
2.	9 Worst Month Analysis	
	10 Chapter Summary	
СНАРТЕ	R THREE: METHODOLOGY	74
	R THREE: METHODOLOGY 1 Introduction	
3		74
3	1 Introduction 2 Measurement Setup	74 77
3	1 Introduction	74 77 82
3	<ol> <li>Introduction</li></ol>	74 77 82 85
3	<ol> <li>Introduction</li></ol>	74 77 82 85 87
33	<ol> <li>Introduction</li></ol>	74 77 82 85 87 90
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 87 90 92
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 87 90 92
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 87 90 92 92
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 93
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 94
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 87 90 92 92 92 92 93 94 95
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 93 94 95 95
3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 95 95 96
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 94 95 96 96
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 90 90 92 92 93 94 95 95 96 96 97
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 94 95 95 96 96 97 98
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 94 95 95 96 96 97 98
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 90 90 92 92 93 93 94 95 95 96 96 97 98 98
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 93 94 95 96 96 97 98 90 9102 9102
3 3 3 3	<ol> <li>Introduction</li></ol>	74 77 82 85 90 92 92 92 92 93 94 95 96 96 97 98 98 90 92

3.6 Determination of Frequency Scaling Technique	106
3.6.1 Proposed Frequency Scaling Model	106
3.7 Validation of the Model	107
3.8 Summary	107
	100
CHAPTER FOUR: RESULT	
4.1 Introduction	
4.2 Diurnal Time-Series Analysis	
4.2.1 Monthly Analysis	
4.2.1.1 Rainfall Rate	
4.2.1.2 Rain Attenuation	
4.2.2 Annual Analysis	
4.2.2.1 Rainfall Rate	
4.2.2.2 Rain Attenuation at the C-band	
4.2.2.3 Rain Attenuation at the Ku-band	
4.2.2.4 Rain Attenuation at the Ka-band	
4.2.2.5 Extrapolation of Rain Attenuation at the Ka-band	
4.3 Determination of Fade Margin	
4.4 Comparison With ITU-R	
4.5 Worst Month Analysis	
4.6 Frequency Scaling.	
4.6.1 ITU-R 618-13 Frequency Scaling	
4.6.2 Hodge	
4.6.3 Battesti	
4.6.4 Kheirallah	
4.6.5 CCIR	
4.6.6 Simple Power Law	
4.6.7 Boithias	
4.6.8 Zhou	
4.7 Proposed Frequency Scaling Technique	17/0
4.8 Implementation of Frequency Scaling Technique for Q-band and	170
V-band	
4.9 Validation of Proposed Frequency Scaling Technique	
4.10 Summary	190
CHAPTER FIVE: DISCUSSION AND CONCLUSION	102
5.1 Discussion	
5.2 Conclusion and Future Works	
5.2 Conclusion and Future works	193
REFERENCES	197
APPENDIX I: SAMPLE PDF OF KA-BAND RAIN ATTENUATION	213
APPENDIX II: SAMPLE CDF OF KA-BAND RAIN ATTENUATION	214
APPENDIX III: EXTRAPOLATIONS FORMULA FOR THE KA-BAN	D
LINK	

## LIST OF TABLES

Table 2.1	Comparison of Frequency Scaling Technique in Predicting Rain Attenuation for the Satellite Communication Link	65
Table 2.2	Limitation of Frequency Scaling Technique in Predicting Rain Attenuation for the Satellite Communication Link	68
Table 2.3	$Q_1$ and $\beta$ for Various Propagation Effects and Areas in Tropical Regions	72
Table 3.1	C-band Beacon Signals Receiving Setup	83
Table 3.2	Ku-band Beacon Signals Receiving Setup	86
Table 3.3	Ka-band Beacon Signals Receiving Setup	88
Table 3.4	Vaisala Weather Transmitter WXT520 Specifications	91
Table 3.5	Rain Detected at Different Rain Gauge Locations in January 2016	101
Table 4.1	Total Precipitations in Cyberjaya for the Year 2016	120
Table 4.2	Rainfall Rate at 0.01% of Time Exceedance for C-band Rain Attenuat 0.1%, 0.3%, 0.01% and 0.03% of Time Exceedance	uation 122
Table 4.3	Rainfall Rate at 0.01% of Time Exceedance with Ku-band Rain Attenuation at 0.1%, 0.3%, 0.01% and 0.03% of Time Exceedance	124
Table 4.4	Rainfall Rate at 0.01% of Time Exceedance with Ka-band Rain Attenuation at 0.1%, 0.3%, 0.01% and 0.03% of Time Exceedance	128
Table 4.5	Annual Rainfall Rate and Annual Rain Attenuation of the C-band a 0.1%, 0.3%, 0.01% and 0.03% of Time Exceedance	t 134
Table 4.6	Annual Rainfall Rate and Annual Rain Attenuation of the Ku-band 0.1%, 0.3%, 0.01% and 0.03% of Time Exceedance	at 135
Table 4.7	Annual Rainfall Rate and Annual Rain Attenuation of Ka-band at 0 0.3%, 0.01% and 0.03% of Time Exceedance	.1%, 138
Table 4.8	Summary of Techniques Used to Determine the Best Extrapolation Graph for Extended Ka-band	142
Table 4.9	Comparison of Measured Rain Attenuation at 0.1%, 0.01% and 0.0 of Time Exceedance with Ahmad's (2019) Model and ITU-R 618-1 (2017)	

Table 4.10	Fade Margins for C, Ku And Ka-band Links Operating at 99.70%, 99.90%, 99.97% and 99.99% in 2016	147
Table 4.11	Measured Values and Proposed Values for $Q_1$ and $\beta$ in Tropical Reg for Slant Path and Rain Rate Propagation	gions 156
Table 4.12	RMSE and Percentage Error of Available Model over the Interval [0.001% to 1%]	169
Table 4.13	RMSE and Percentage Error Obtained after Testing the Proposed Mover the Intervals [0.001% to 1%]	Iodel 177
Table 4.14	Comparison of Statistical Parameters between the Proposed Frequer Scaling Model and the ITU-R Frequency Scaling Method	ncy 179
Table 4.15	Tabulated Values of Scaled Attenuation at Ka, Q and V-band links	181
Table 4.16	Comparison Values of V-band Rain Attenuation at 99.9% and 99.99 Link Availability Between the Exiting Model and the New Model.	
Table 4.17	RMSE and Percentage Error Value of Rain Attenuation Predicted a Cyberjaya in the year 2015 using the Proposed Model	t 184
Table 4.18	RMSE and Percentage Error Value of Rain Attenuation using the Proposed Model Predicted at Rawang in the year 2016	186
Table 4.19	RMSE and Average Percentage Error Value of Rain Attenuation at V-band (40 GHz) Predicted in Nigeria using the Proposed Model	the 188
Table 4.20	RMSE Percentage Improvement for the Ka-band using the Propose Model as compared to the ITU-R Frequency Scaling Technique	d 189
Table 4.21	RMSE Percentage Improvement for Estimating V-band Rain Attenu using the Proposed Model as compared to the ITU-R Rain Attenuat Prediction Model	

## LIST OF FIGURES

Figure 1.1	Map of Tropical Regions (IEEI, 2014)	4
Figure 1.2	Overview of System Setup for the C & Ku-bands	8
Figure 1.3	Miniature Model of Measat-3 Satellite	8
Figure 1.4	Overview of System Setup for the Ka-band	9
Figure 1.5	Miniature Model of Measat-5 Satellite	9
Figure 1.6	Theoretical Framework of Statistical Frequency Scaling Study	13
Figure 2.1	Satellite Communication (SatCom) System (Walter, 2018)	23
Figure 2.2	Terrestrial Communication System (Yee et al., 2007)	24
Figure 2.3	Wavelength Designation for Frequency Band	28
Figure 2.4	Climate Region (Mustafa et al., 2017)	31
Figure 2.5	Total Rainfall in Malaysia in 2016 (MMD, 2016)	35
Figure 2.6	Schematic Diagram of an Earth-Space Input Parameter Needed in Attenuation Prediction Process (ITU-R P.618-13, 2017)	the 45
Figure 2.7	The Research Gap Identified in the Study	70
Figure 3.1	Overview of the Research	75
Figure 3.2	Research Flow Chart	76
Figure 3.3	Earth Stations at the MEASAT Teleport and Broadcast Centre	77
Figure 3.4	Receiver Station at the ASTRO Broadcast Centre	78
Figure 3.5	MEASAT-3 Specifications and Footprints (MEASAT, 2022)	79
Figure 3.6	MEASAT-5 Specifications and Footprints (MEASAT, 2022)	79
Figure 3.7	MEASAT-3 and MEASAT-5 in reference to their Earth Stations	80
Figure 3.8	Earth-Space Link Design	81
Figure 3.9	C/Ku-band Configuration	84
Figure 3.10	C-band Antenna (General Dynamics SATCOM Technologies)	84

Figure 3.11	Ku-band Antenna (General Dynamics SATCOM Technologies)	86
Figure 3.12	Digital Tracking Receiver for Ku and C-band	87
Figure 3.13	Ka-band Configuration	88
Figure 3.14	Ka-band Antenna	89
Figure 3.15	Digital Tracking Receiver for Ka-band	89
Figure 3.16	ASTRO Rain Sensor	91
Figure 3.17	Flow Chart of Processing Data	93
Figure 3.18	Steps in Generating Time Exceedance for C, Ku and Ka-band Beac Signals, and Rainfall Rate Data.	on 97
Figure 3.19	Time-Series for Rain Event on 6th February 2016	98
Figure 3.20	Attenuation Time-Series of Ka-band for One Day on 6th February 2	2016 99
Figure 3.21	Location of Rain Gauge at Paya Indah, Puncak Niaga, and Earth St at Cyberjaya	ation 100
Figure 3.22	Time-Series of Rain Attenuation of Ka-band on 4th January 2016	102
Figure 3.23	Plot of Annual Rain Attenuation of Ka-band versus Annual Rainfal	1 Rate 103
Figure 4.1	Time-Series Plots of Rainfall Intensity and Attenuation During Rai on 20 <sup>th</sup> May 2016	nfall 111
Figure 4.2	Time-Series for Rain Events on 6 <sup>th</sup> February 2016	112
Figure 4.3 2016	Time-Series of C-band Attenuation for the Entire Day of 6 <sup>th</sup> Februa	ry 113
Figure 4.4	Time-Series of C-band Attenuation during Rainfall on 6th February	2016 2016 113
Figure 4.5	Time-Series of Ku-band Attenuation for One Day (6 <sup>th</sup> February 201	l6) 114
Figure 4.6	Time-Series of Ku-band Attenuation during Rainfall on 6 <sup>th</sup> Februar 2016	y 115
Figure 4.7	Rain Attenuation Time-Series of Ka-band on 6th February 2016	116
Figure 4.8	Time-Series of Rainfall on 4th January 2016	117
Figure 4.9	Monthly Rain Intensity of Time Exceedance in 2016	119

Figure 4.10	Monthly Distribution of the C-band Rain Attenuation at Cyberjaya 2016	in 121
Figure 4.11	Monthly Distribution of the Ku-band Rain Attenuation at Cyberjaya 2016	a in 123
Figure 4.12	Histogram of Certain Attenuation Thresholds and Monthly Time Exceedance	125
Figure 4.13	The Probability of Specific Rain Attenuation Thresholds at the Ku- Link	band 126
Figure 4.14	Monthly Distribution of Rain Attenuation of Ka-band at Cyberjaya 2016	in 127
Figure 4.15	Histogram of a Specific Threshold of Attenuation is Exceeded Mon at the Ka-band Link	thly 129
Figure 4.16	Probability of Specific Thresholds of Rain Attenuation Exceedance Ka-band Link	at the 130
Figure 4.17	Annual CDF of Rain Intensity for 2016 in Cyberjaya	131
Figure 4.18	Annual CDF of C-band Rain Attenuation for 2016 in Cyberjaya	132
Figure 4.19	C-band Rain Attenuation Correlated to Rainfall Rate in Cyberjaya's Gauge	s Rain 133
Figure 4.20	Annual CDF of Ku-band Rain Attenuation for 2016 in Cyberjaya	135
Figure 4.21	Ku-band Rain Attenuation Correlated to Rainfall Rate in Cyberjaya Rain Gauge	's 136
Figure 4.22	Annual CDF of Ka-band Rain Attenuation for 2016 in Cyberjaya	137
Figure 4.23	Ka-band Rain Attenuation Correlated to Rainfall Rate in Cyberjaya Rain Gauge	's 138
Figure 4.24	Measured Plotted Values with Linear Extrapolation Model	139
Figure 4.25	Measured Plotted Values with First-Order Power Law Extrapolation Model	n 140
Figure 4.26	Measured Plotted Values with Second-Order Power Law Extrapola	tion 140
Figure 4.27	Measured Plotted Values with Second-Degree Polynomial Extrapol Model	ation 141
Figure 4.28	Measured Plotted Values with Ahmad's (2019) Extrapolation Mode	el 141

Figure 4.29	Extrapolated Ka-band Rain Attenuation Correlated to Rainfall Rate Cyberjaya's Rain Gauge	in 144
Figure 4.30	Annual CDF of C-band Rain Attenuation	145
Figure 4.31	Annual CDF of Ku-band Rain Attenuation	145
Figure 4.32	Annual CDF of Measured Ka-band Rain Attenuation	146
Figure 4.33	Annual CDF of Extrapolated Ka-band Rain Attenuation	146
Figure 4.34	Annual CDF of the Measured Rain Rate Value in 2016 compared to ITU-R 837-7 Rainfall Prediction	) 149
Figure 4.35	Annual CDF of Measured Values compared to ITU-R Prediction V of Rain Attenuation for C, Ku and Ka-band Links	alues 150
Figure 4.36	The Probability of a Specific Threshold of Rainfall Rate Exceeded the year 2016 at Cyberjaya	for 151
Figure 4.37	Annual and Worst Month Rain Rate Exceedance Curve	152
Figure 4.38	Q as a Function of Annual Rainfall Rate Exceedance Percentage	153
Figure 4.39	Q as a Function of Annual Rain Attenuation at Ku-band Exceedance Percentage	e 154
Figure 4.40	Worst Month Model Comparison of Ku-band Rain Attenuation	155
Figure 4.41	Q as a Function of Annual Rain Attenuation at Ka-band Exceedance Percentage	e 157
Figure 4.42	Worst Month Model Comparison of Ku-band Rain Attenuation	158
Figure 4.43	ITU-R 618-13 Frequency Scaling	159
Figure 4.44	Hodge Frequency Scaling Model	160
Figure 4.45	Battesti Frequency Scaling Model	161
Figure 4.46	Kheirallah Frequency Scaling Model	162
Figure 4.47	CCIR Frequency Scaling Model	163
Figure 4.48	Simple Power Law Frequency Scaling for Pairs Ku-band/C-band an Ka-band/C-band	nd 164
Figure 4.49	Simple Power Law Frequency Scaling for Pairs Ka-band/Ku-band	165
Figure 4.50	Drufuca Frequency Scaling Model	166
Figure 4.51	Boithias Frequency Scaling Model	167

Figure 4.52	Zhou Frequency Scaling Model	168
Figure 4.53	Time Percentage Exceedance Curve of Measured Rain Rate and Ra Attenuation of C, Ku and Ka-band Links for 2016	in 170
Figure 4.54	RAS for the Year 2016 as a Function of Lower Frequency Attenuat for the Frequency Pairs of 20/12 GHz	ion 171
Figure 4.55	Graph of Attenuation at the Ku-band versus Attenuation at the Ka-band vers	oand 172
Figure 4.56	Graph of Attenuation at the Ku-band versus Attenuation at the C-ba	and 173
Figure 4.57	Graph of Attenuation at the Ka-band versus Attenuation at the C-ba	and 174
Figure 4.58	Comparison of Scaled-up Ka-band Rain Attenuation Using the Prop Frequency Scaling Model with Measured Rain Attenuation at the K band in 2016	
Figure 4.59	Comparison of the Derived Frequency Scaling Technique and Avai Frequency Scaling Models, including ITU-R at Frequency Pair of Ka/Ku-band	lable 176
Figure 4.60	Scale-up of Ka, Q and V-band links using the Proposed Frequency Scaling Model	180
Figure 4.61	Comparison of Measured Rain Attenuation of the Proposed Model Cyberjaya in 2015	at 183
Figure 4.62	Comparison of Measured Rain Attenuation of the Proposed Model Rawang in 2016	at 185
Figure 4.63	Comparison of the Proposed Model with the Measured Rain Attenuat the V-band Link in Nigeria	ation 187
Figure 4.64	RMSE Comparison between the New Model and ITU-R model	189

### **CHAPTER ONE**

#### **INTRODUCTION**

#### **1.1 BACKGROUND OF THE STUDY**

The Covid-19 global pandemic has caused serious problems for the world's leading satellite industries. They faced several challenges in delivering reliable link availability of satellite-Earth signals. Since the start of the pandemic, broadband connectivity provided by satellite operators had drastically increased from 15% to 70% in network usage. Satellites can instantly connect isolated communities and offer connectivity to unreachable and remote areas. However, the main challenge experienced by satellite industries is providing consistent internet connection in places that experience frequent heavy precipitation where severe impairment of satellite signal reception occurs. Precipitation like rain prominently degrades the quality of satellite signals. When signals travel from satellites to the Earth's receivers, they pass over unpredictable rain along their propagation paths. The signal power may weaken before reaching its destination, especially when longer slant paths and lower elevation angles are involved (Igwe et al., 2019; Nazrul et al., 2013). These factors affect link availability, leading to negatively impacting consumer satisfaction which further damages and causes a tumble in the reputation of satellite providers.

The International Telecommunication Union's (ITU) Radio Regulations (RR) defined satellite links as radio links transmitting between Earth stations to satellite receivers and vice versa. An "uplink" refers to an Earth-to-satellite propagation, and a "downlink" implies transmission from the satellite to a receiving Earth station. Radio frequencies that have wavelengths ( $\lambda$ ) ranging between 1 mm to 10 mm in satellite-Earth links are referred to as millimetre-wave frequencies. Communication satellites facilitate human connection regardless of location (Badron et al., 2015). Satellite communication (SatCom) is then considered the best option for addressing user demands for areas not economically reachable by terrestrial links, such as for

developing countries with vast lands or in the middle of the ocean. Numerous requirements in the telecommunication and broadcasting industries have prompted an increase in demand for high-speed broadband communication, especially for multimedia services. These have subsequently led to the growing demands for greater bandwidth (Yussuff et al., 2019). Although the rollout of fibre in South Africa significantly enhanced the speed of home broadband in the year 2014, satellite infrastructures continue to fulfil a crucial role in providing communication access to rural, remote and inland areas throughout the continent and across the globe as well. The fast growth in telecommunications, increasing bandwidth demands, congestion in lower frequency bands, and miniaturisation of communication equipment have forced designers to employ higher frequency bands such as the Ku (12 to 18 GHz), Ka (26.5 to 40 GHz) and V (40 to 75 GHz) (Kamruzzaman & Islam, 2014). Unfortunately, higher operating frequency bands are very susceptible and vulnerable to tropospheric conditions that impair and reduce signal quality (Sujimol et al., 2015).

The proposed satellite television broadcast for home reception is called Direct Broadcast Satellite (DBS) or Direct-to-Home (DTH). It enables the easy access to live television channels from other countries. Various multimedia applications are offered by satellite communication which all require extremely high data rate transmissions, including videoconferencing, broadcasts, data broadcasts that include High Definition (HD) television broadcasts, bandwidth on demand, intranet works and telemedicine. Such applications lead to spectrum congestion in lower frequency bands. Shifting to higher frequency bands is one option for achieving better services in satellite communication applications since these bands support high data-rate broadcasts and internet applications. SatCom systems are now moving towards the use of Ka-bands (20/30 GHz). In future, the shift to higher frequency bands, such as the Q/V (40/50 GHz), will undoubtedly occur to accommodate larger bandwidth requirements. High radio frequency offers several advantages such as larger spectrum availability, smaller equipment size requirements and less interference for SatCom (Samat & Singh, 2020).

High Throughput Satellites (HTS) are communication satellites with higher throughput than traditional Fixed Satellite Services (FSS) which give advantages in frequency reuse and multiple spot beams. High throughput is important because of its high-speed information delivery (bits/sec). It can be influenced by frequency reuse and channel efficiency. The first commercial HTS in Asia is the IPSTAR (Thaicom-4) operating in the Ku-band and is now developing to operate in the Ka-band (ITU-R P.618-13, 2017). The main purpose of these satellites is fast internet connectivity. The commercial HTS, IPSTAR, was launched by Thaicom in 2005. This satellite was designed for FSS, which is a two-way communication over an Internet Protocol (IP) platform with a maximum capacity throughput of 45 Gbps, a user download speed of up to 5 Mbps and an upload speed of 4 Mbps.

Today, the IPSTAR is licensed to operate in 14 countries in the Asia Pacific areas, which directly allows service providers and operators to deliver real-time broadband internet access via satellite. In May 2011, Thaicom began selling some parts of its satellite capacity to Malaysia. Malaysia's satellite operator, MEASAT, signed a contract for a specific capacity on the IPSTAR. MEASAT Satellite Systems Sdn. Bhd. purchased access to seven IPSTAR spot beams under a decade contract for delivering a total of 3.3 Gbps, representing 7% of the satellite's total capacity. The bandwidth was known and marketed in Malaysia as MEASAT-5 (Christensen, 2012).

The idea of deploying a new satellite system in the Ka-band frequency started to evolve and gain attention in countries with tropical environments. The areas between the Earth's latitudes of 23°27' North and 23°27' South are identified as tropical regions, as shown in Figure 1.1. The propagation impairments are quite critical in locations with tropical weather. This is due to the occurrence of frequent heavy rain which severely affects high-frequency radio wave signals. The rain can cause severe signal fading as well as interference (Yeo et al., 2009a). The dominant factor for signal impairment of satellite links at higher frequencies is rain, and this depends on the rain rate, the raindrop size and raindrop density (Kamruzzaman & Islam, 2014; Islam et al., 2018). Raindrops absorb and scatter radio waves with shorter wavelengths, hence resulting in signal attenuation as well as reducing system availability and reliability (Mom et al., 2021). The attenuation due to rain on any path depends on several parameters, such as the specific attenuation in dB/km, frequency, polarization, temperature, path length and latitude. As it is known rain attenuation causes a reduction of the received signal level, therefore, upon designing dependable Ka-band satellite communication systems, rain attenuation must be considered as an important propagation element to ensure signal quality. The conventional quality of service (QoS) criterion in Malaysia for broadcasts is 99.99% availability (MCMC, 2022). The QoS requirement recommended by the ITU-R Telecommunication Standardisation is 99.9% link availability for communication services (ITU-T G.1028, 2016).

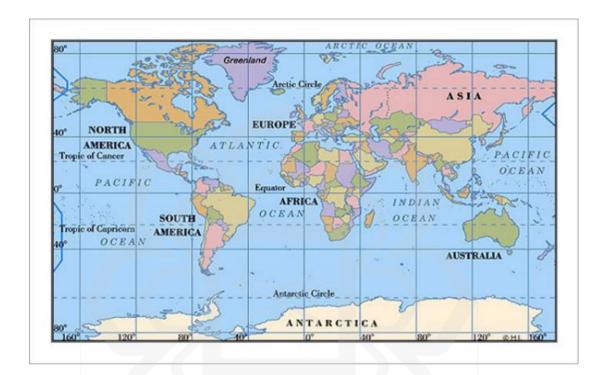


Figure 1.1 Map of Tropical Regions (IEEI, 2014)

Rain attenuation is typically assumed as a product of specific attenuation (dB/km) and the effective propagation path length (km). The effective path length is the product of the path reduction factor and the physical path length of a microwave link (Islam et al., 2012). Rain is the most destructive source of signal propagation on these bands. The consequence of rain attenuation on band signal quality, especially in tropical countries such as Malaysia, requires comprehensive research. The appropriate fade margins must be identified to ensure successful implementation in all provinces throughout Malaysia.

Several methods can be used for identifying and estimating rain attenuation. The frequency scaling technique is one suggested approach (Ulaganathen et al., 2013). Frequency scaling of attenuation is the prediction of rain attenuation at the desired frequency derived from attenuation values at another frequency (Laster & Stutzman, 1995; Ramana, 2015; Ulaganathen et al., 2013). The method can be used to obtain an estimation of the attenuation statistics at the desired frequency from attenuation values measured at a lower frequency. This is very much applicable where and when reliable long-term rain attenuation statistics are available. Numerous scaling models have been developed either from theory, from empirical data of various propagation experiments or from both (Islam et al., 1999).

Generally, statistical models (such as ITU-R) are suitable for establishing fade margins to mitigate the impairment of transmitted signals. However, it has been highlighted by various research that the proposed margin cannot cater to large attenuations and acute impairments in tropical regions (Ismail et al., 2013; Sujimol et al., 2015; Yaccop et al., 2016). The design and deployment of satellite systems in tropical regions for higher frequencies require an accurate and precise prediction of impairment statistics. The statistics must have the smallest error margin to employ several adaptive techniques at the receiver system. This applies to Malaysia, a country with a tropical climate with persistent heavy rainfall every year. The research outcomes will help in solving the relevant problems and setting an appropriate link budget when designing new satellite networks. Appropriate allocation of higher power transmission can be deployed to overcome rain fade endured by higher frequency satellite-Earth links.

#### **1.2 PROBLEM STATEMENT**

The terrestrial network has a serious limitation in terms of offering mass coverage for rural areas and isolated locations. This can be complemented by satellite-Earth