

DEVELOPMENT AND FEASIBILITY OF NUTRITION
SCREENING GUIDELINE USING MINI NUTRITIONAL
ASSESSMENT SHORT-FORM (MNA[®]-SF) IN ELDERLY
ATTENDING HEALTH CLINICS FOR THE USE OF
HEALTHCARE STAFF IN CLINIC SETTINGS

BY

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ABSTRACT

Elderly population are susceptible to malnutrition. However, malnutrition identification among community-living elderly through nutrition screening is not routinely performed in Malaysia health clinics; although it is recommended to be performed routinely in all healthcare setting. Major issue to this is due to no specific nutrition screening guideline and validated tool in this setting. Due to this gap, development of nutrition screening guideline is essential for use among healthcare staff. The aimed of this study are to develop a nutrition screening guideline for use by healthcare staff in health clinic setting and assess its feasibility. This study has taken a mixed-method approach and action research to address the research objectives. This study was divided into three phases; Phase 1: Identification of barriers and opportunities of nutrition screening in elderly patients in the health clinic setting; Phase 2: Development of nutrition screening guideline by using Mini Nutritional Assessment Short-Form (MNA[®]-SF) for healthcare staff in health clinic setting; and Phase 3: To assess the feasibility of newly developed nutrition screening guideline for health clinic setting among healthcare staff. Four health clinics from urban and rural areas in Kuantan, Pahang involved in this study.

In Phase 1, in-depth interviews were conducted among twenty healthcare staff. Non-participant observations that acted as triangulation were conducted among twenty-one elderly patients. Both data from interviews and observations were analysed thematically using NVivo software version 12. Four themes emerged for barriers and opportunities were Theme 1: Time; Theme 2: Patient factors; Theme 3: Organization factors and; Theme 4: Nutrition screening knowledge. Findings from Phase 1 has become guidance in content development of the nutrition screening guideline. In Phase 2, twenty-three documents have been included from conducted scoping review for the content development of the guideline. Following this, A4-sized guideline has been developed. The guideline has been validated among six experts by using Item-Content Validity Index (I-CVI). Items that have achieved ≥ 0.83 were remained. Meanwhile, items with a score < 0.83 were revised accordingly. Next, face validity among twelve healthcare staff has been conducted and all participants showed positive responses. Amendment has been made based on provided suggestions. For Phase 3, 22 healthcare staff from similar health clinics participated and had screened five elderly patients each (total=110, mean age= 68.7 \pm 6.1 years). After completing nutrition screening, individual in-depth interviews were conducted with the healthcare staff. All data were analysed thematically. The themes obtained were Theme 1: Ease of use; Theme 2: Identification and management of malnutrition; Theme 3: Acceptability; and Theme 4: Implementation of nutrition screening. In summary, this multiphase study has identified appropriate approaches in implementing nutrition screening among elderly patients in health clinic setting. Provision of a comprehensive newly developed nutrition screening guideline tailored to this setting was well-accepted among healthcare staff and feasible in health clinic setting. This nutrition screening guideline is recommended to be adopted nationwide in Ministry of Health's health clinics towards optimum nutritional status of elderly population and achieving healthy ageing; whilst policy related to nutrition screening implementation in health clinics can be further enforced.

خلاصة البحث

إن كبار السن من الناس معرضون لسوء التغذية. ومع ذلك، فإن تحديد سوء التغذية بين كبار السن الذين يعيشون في المجتمع من خلال فحص التغذية لا يتم بشكل روتيني في العيادات الصحية في ماليزيا؛ على الرغم أنه يوصى بإجراء ذلك بشكل روتيني في جميع أماكن الرعاية الصحية. ترجع المشكلة الرئيسية في هذه الحالة إلى عدم وجود الإرشادات المحددة لفحص التغذية وأداة التحقق من الصحة في هذه المجموعة. بسبب هذه الفجوة، يعد تطوير إرشادات فحص التغذية أمراً ضرورياً للاستخدام بين موظفي الرعاية الصحية. الهدف من هذه الدراسة هو تطوير إرشادات فحص التغذية للاستخدام بين موظفي الرعاية الصحية في إعداد العيادة الصحية وتقييم جدواها. اتخذت هذه الدراسة منهجاً مختلطاً وبين البحث النظري والعملي الميداني لمعالجة أهداف البحث. قسمت هذه الدراسة إلى ثلاث مراحل؛ المرحلة الأولى: تحديد العوائق والفرص لفحص التغذية للمرضى المسنين في العيادة الصحية؛ المرحلة الثانية: تطوير إرشادات فحص التغذية باستخدام نموذج قصير للتقييم الغذائي الصغير (MNA® -SF) لموظفي الرعاية الصحية في إعداد العيادة الصحية؛ والمرحلة الثالثة: لتقييم جدوى الإرشادات لفحص التغذية المطورة حديثاً لإعداد العيادة الصحية بين موظفي الرعاية الصحية. أربع العيادات الصحية من المناطق الحضرية والريفية في كواتان، باهانج المشاركة في هذه الدراسة. في المرحلة الأولى، أجريت مقابلات معمقة بين عشرين من العاملين في مجال الرعاية الصحية. وأجريت ملاحظات غير المشاركين التي كانت بمثابة تنليث بين 21 مريضاً مسناً. حللت كل من البيانات المأخوذة من المقابلات والملاحظات بشكل موضوعي باستخدام الإصدار 12 من برنامج NVivo. ظهرت أربعة محاور للحواجز والفرص؛ المحور 1: الزمن؛ المحور 2: عوامل المريض؛ المحور 3: عوامل التنظيم؛ والمحور 4: معرفة في فحص التغذية. أصبحت النتائج من المرحلة الأولى التوجيهات في تطوير الإرشادات لفحص التغذية. في المرحلة الثانية، تضمنت 23 وثيقة من المراجعة النطاق التي تم إجراؤها لتطوير محتوى الدليل الإرشادي. بعد ذلك، تم تطوير الإرشاد بحجم A4. باستخدام فهرس صلاحية المحتوى العنصر (I-CVI) يتم التحقق من صحة الإرشاد بين ستة خبراء. بقيت العناصر التي حققت ≥ 0.83 . وفي الوقت نفسه، تمت مراجعة العناصر التي حصلت على درجة < 0.83 وفقاً لذلك. ثم، تم إجراء صدق الوجه بين اثني عشر من موظفي الرعاية الصحية وأظهر جميع المشاركين موفقاً إيجابياً. وقامت بالتعديل بناء على الاقتراحات المقدمة. في المرحلة الثالثة، شارك 22 موظف الرعاية الصحية من العيادة الصحية المماثلة وقاموا بفحص خمسة مرضى مسنين لكل منهم (المجموع = 110، متوسط العمر =

68.7 ± 6.1 سنة). بعد الانتهاء من فحص التغذية، أجريت المقابلات الفردية المتعمقة مع موظفي الرعاية الصحية. تم تحليل جميع البيانات بشكل موضوعي. المحاور التي حصلت عليها؛ المحور 1: سهولة الاستعمال؛ المحور 2: تحديد وإدارة سوء التغذية؛ المحور 3: المقبولية؛ والمحور 4: انجاز فحص التغذية. باختصار، حددت هذه الدراسة المتعددة المراحل الأساليب المناسبة في انجاز فحص التغذية بين مرضى المسنين في إعداد العيادة الصحية. كان تقديم الإرشادات لفحص التغذية الشاملة المطورة حديثا مصمما خصيصا لهذا الإعداد مقبولا جيدا بين موظفي الرعاية الصحية وكان ممكنا في إعداد العيادة الصحية. يوصى باعتماد هذه الإرشادات فحص التغذية في جميع العيادات الصحية لوزارة الصحة أنحاء البلاد من أجل الوضع الغذائي الأمثل لكبار السن وتحقيق شيخوخة صحية؛ بينما يمكن تطبيق السياسة المتعلقة بتنفيذ فحص التغذية في العيادات الصحية بشكل أكبر.

APPROVAL PAGE

I certify that I have supervised and read this study and that in my opinion, it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Master of Health Sciences (Nutrition Sciences)

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DECLARATION

I hereby declare that this thesis is the result of my own investigations, except where otherwise stated. I also declare that it has not been previously or concurrently submitted as a whole for any other degrees at IIUM or other institutions.

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CHAPTER ONE

INTRODUCTION

1.1 BACKGROUND OF THE STUDY

Poor nutritional status and malnutrition in the elderly are the important areas of concern. Alteration in body composition, organ functions, adequate energy intake and ability to eat and access food are affected by ageing (Amarya, Singh, & Sabharwal, 2015; Saka, Kaya, Ozturk, Erten, & Karan, 2010). Ageing is a biological process that involved elderly. According to United Nations (UN), elderly are people aged of 60 years and older (United Nations, 2019). Ageing or normal ageing can be known as chronological ageing that occurs with age and unaffected by diseases and environmental influences (Samarakoon, Ravishankar, & Chandola, 2011; WHO, 2001). By contrast, the process of ageing is not due to ageing itself but may strongly be affected by the environment, lifestyle and disease state (Samarakoon et al., 2011).

Due to this, most of the elderly are susceptible to malnutrition. Malnutrition is a state of nutrition in which a deficiency or excess (or imbalance) of nutrients causes adverse effects on body composition, function and clinical outcome (Elia, 2000; Nur Fazimah, Sakinah, & Rosminah, 2013). In this research, malnutrition is referred as undernutrition. In addition, this research is based in health clinic setting. It can be defined as a centre that provides services that are usually the first point of contact with a healthcare professional (Shi, 2012).

Based on previous studies, a range from 5.3% to 52.6% of elderly are at risk and malnourished in community setting (Cereda et al., 2016; Kaiser et al., 2010; Winter, Flanagan, Mcnaughton, & Nowson, 2013). Meanwhile, recent studies in Malaysia

showed alarming rate of 43.1 to 48.9.% and 4.2 to 56.9% of male and female elderly respectively were at risk of malnutrition (Muhamad, Hamirudin, Zainudin, Sidek, & Rahman, 2019; Suzana, Boon, Chan, & Normah, 2013; Zainudin, Hamirudin, Nor, & Sidek, 2019).

Therefore, early detection of malnutrition should be performed by nutrition screening. Nutrition screening is the first step in identifying patients who are at risk for nutritional problems or who have undetected malnutrition (Mathew & Funderburg, 2007). There are various types of nutrition screening tools exist based on the systematic literature review. For example, Mini Nutritional Assessment Short-Form (MNA[®]-SF), Malnutrition Universal Screening Tool (MUST), Malnutrition Screening Tool (MST), Elderly Nutrition Screening (ENS[®]), Nutrition Screening Initiative (NSI), Nutritional Risk Index (NRI), Seniors in the Community: Risk Evaluation for Eating and Nutrition I (SCREEN[©]) and Seniors in the Community: Risk Evaluation for Eating and Nutrition II (SCREEN[©] II) (Hamirudin, Charlton, & Walton, 2016; Van Bokhorst-de van der Schueren, Guaitoli, Jansma, & Vet, 2014). From all the nutrition screening tools available, MNA[®]-SF is a valid nutrition screening tool for use in community-living elderly to identify malnutrition globally (Kaiser et al., 2009; Philips, Foley, Barnard, Isenring, & Miller, 2010).

MNA[®]-SF is a validated nutrition screening tool that has been adapted from full Mini Nutritional Assessment (MNA[®]). Six questions of MNA[®]-SF has been developed from full Mini Nutritional Assessment (MNA[®]) based on its specificity and sensitivity; and had a full correlation with full MNA[®] (Rubenstein, Harker, Salvà, Guigoz, & Vellas, 2001). In addition, MNA[®]-SF can be completed within five minutes (Kaiser et al., 2009; Skates & Anthony, 2012). As this research is performed in community setting, MNA[®]-SF is the most valid and suitable tool to be used. Most importantly, this tool has

been validated in community settings particularly in health clinics in Malaysia (Shahar & Siti Saifa, 2007).

Nutrition screening is a procedure that can be administered by any healthcare staff including staff nurses, healthcare assistants, doctors, or other staff on their first contact with patients (Power et al., 2018; Todorovic, 2004). They have expertise and responsibility to ensure that patients' nutritional needs are met (Xu, Parker, Ferguson, & Hickman, 2017). Therefore, in the healthcare setting, nurses play important roles as healthcare team members to support dietitian's role to ensure good nutrition among patients (Jefferies, Johnson, & Ravens, 2011; McClinchy, Williams, Gordon, Cairns, & Fairey, 2015). Effective management is required by collaboration among multidisciplinary healthcare team members including dietitian. They are responsible for developing innovative strategies to facilitate patient compliance in improving nutritional status (Morley & Cashell, 2017; Tappenden et al., 2013).

Furthermore, this research aims to assess the feasibility of nutrition screening guideline using MNA[®]-SF in elderly among healthcare staff in health clinic setting in Kuantan, Pahang, Malaysia. This study was conducted phase by phase with a total of three phases. During phase 1, the researcher aimed to identify barriers and opportunities of nutrition screening in elderly among healthcare staff in health clinic setting. In this phase, in-depth interview was conducted with healthcare staff. The non-participant observation was performed as triangulation by observing health clinic workflow and time taken by elderly patients in the health clinic. Findings from phase 1 become guidance in developing content for a newly developed nutrition screening guideline. The nutrition screening guideline involved in the validation process among experts and healthcare staff. The last phase (phase 3) aimed to assess feasibility of the newly developed nutrition screening guideline, including MNA[®]-SF for health clinic setting

among healthcare staff. The newly developed nutrition screening guideline had been used by healthcare staff in health clinics. Each healthcare staff is required to screen five elderly patients attending the respective health clinics using MNA[®]-SF. All of the healthcare staff involved were interviewed to assess the feasibility of nutrition screening guideline.

1.2 STATEMENT OF RESEARCH PROBLEM

Malnutrition identification in community-living elderly through nutrition screening is not a routine practice in Malaysia health clinics; although it is recommended to be performed in all healthcare setting (Watterson et al., 2009). Major issue to this is due to no specific nutrition screening guideline and validated tool available for malnutrition identification in this setting in Malaysia.

Currently, in Malaysia health clinics, *Borang Saringan Status Kesihatan (BSSK/WE/2008 Pind 1/2014)* is used by healthcare staff for health screening among elderly patients. This form consists of 14 parts, including a nutrition section. Questions in the nutrition section only inquire whether the elderly patient consumes three main meals and whether they consume milk, vegetables and fruits. The questions provided within *BSSK* are not well-structured and systematic to identify elderly who are at risk or malnourished. Besides, this form is only required to be completed during the patients' first visit to the health clinics. Appropriate malnutrition identification through the use of validated nutrition screening tool is essential to classify patients' malnutrition risk status; and further provide appropriate malnutrition management which include nutrition intervention (Kondrup, Allison, Elia, Vellas, & Plauth, 2003; Reber, Gomes, Vasiloglou, Schuetz, & Stanga, 2019). The dietitian is responsible in providing nutrition

intervention for the improvement of patient's nutritional status. Thus, early malnutrition identification through nutrition screening is essential in health clinic settings to enable timely nutrition intervention among the elderly patients.

Hence, it is vital to develop a nutrition screening guideline for health clinic setting; it can further improve patients' nutritional status, leading to healthy ageing and quality of life among the elderly (Hamirudin et al., 2016; Ramage-Morin, Gilmour, & Rotermann, 2017).

1.3 SIGNIFICANCE OF THE STUDY

The significance of this research is to help in identifying the risk of malnutrition among community-living elderly in health clinic setting in a timely manner. Further health complications related to malnutrition can be prevented with the use of newly developed nutrition screening guideline.

This research in line with the initiative of Ministry of Health's Plan of Action for the year 2016-2020 and with the Ministry of Health 10th Malaysia Health Plan. The aims are to address the issues regarding health services delivery, health awareness, healthy lifestyle and empowerment of the individuals' responsibility for own health. This research will be one of the efforts to provide the cost effective and sustainable healthcare service to the population specifically elderly.

Therefore, this research is warranted due to the number of elderly population who are increasing year by year; as reported that a total of 5.0% by the year 2010 to 14.5% by the year 2040 (Department of Statistics Malaysia, 2016). Hence, the newly developed nutrition screening guideline would provide benefits to elderly patients and healthcare professionals. The availability of this guideline in health clinic is able to

improve clinical decisions, consistency of care and support quality improvement (Woolf, Grol, Hutchinson, Eccles, & Grimshaw, 1999). It will be served as a guide for healthcare staff in performing nutrition screening and subsequently facilitate in further appropriate malnutrition management.

1.4 RESEARCH QUESTIONS

This research addresses several research questions as follow:

1. What are the barriers and opportunities of nutrition screening in elderly patients attending health clinic?
2. What is the process involved in the development of nutrition screening guideline using MNA[®]-SF in health clinic setting?
3. How feasible is the newly developed nutrition screening guideline for health clinic setting to healthcare staff?

1.5 HYPOTHESIS

The newly developed nutrition screening guideline using MNA[®]-SF for health clinic setting is feasible to healthcare staff.

1.6 RESEARCH OBJECTIVES

This research embarks on the following objectives:

1. To identify barriers and opportunities of nutrition screening in elderly patients in health clinic setting. (Phase 1)